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- New York State Fiscal Analysis Model for Early Childhood Services

- Technical Manual -

Prepared for the NYS Early Childhood Advisory Council Finance Work Group

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Building Success for Children
Ensuring Success for New York

Introduction

The New York State Fiscal Analysis Model for Early Childhood Services was created by the Early Childhood Advisory Council (ECAC) to map existing federal and state public funding for New York's early childhood system, and to allow users to project the fiscal implications of improvements to the system. The model encompasses a range of programs for pregnant mothers and children ages birth through 5. This document details the data and methodology used in the model.

For more information about the ECAC or general questions about the model, please consult the accompanying User Guide or contact the ECAC at ecac@ccf.ny.gov. For more information about data and methodology used in the model, please contact APA by visiting their website at <http://www.apaconsulting.net>.

Project Overview and Methodology

The Fiscal Model was developed by Augenblick, Palaich, and Associates (APA) for the New York State Early Childhood Advisory Council (ECAC). The project team included APA staff Andrew Brodsky, Justin Silverstein, Simon Workman and Bob Palaich; Anne Mitchell, President of Early Childhood Policy Research; and Stephanie Woodard, New York State Council on Children and Family Services.

In the first phase of the project, the APA team met with the ECAC's Finance Work Group members to help frame the Fiscal model and clarify goals. In the model's next phase, APA created a comprehensive data map in consultation with the ECAC, who consulted early childhood stakeholders in the state. This data map served as a guide to data collection, and included program names and descriptions, current funding disaggregated by funding source, current enrollment disaggregated by age and income, and current costs per child.

In the third phase, APA built an interactive web-based modeling tool based on data collected. This tool allows users to adjust components of the system, either on a program-by-program basis or by system domains, and view the current funding and projected funding gap for the system.

Development of Program List

The first step in collecting data for the model was to identify a list of programs to include in the model. As the building of the model progressed, the program list was modified. The final lists of programs included in the model are as follows:

- American Indian Health Program
- American Indian Health Program – Clinics
- Campus Based Child Care Centers
- Child And Adult Care Food Program (CACFP)
- Child and Family Clinic
- Child Care Resource and Referral (CCR&R)
- Child Care Res. & Referral Centers - Infant/Toddler
- Child Care Resource & Referral Legally Exempt Registration
- Child Care Subsidy Training
- Child Care Time and Attendance Payment System

- Children Center's in the Court
- Children with Special Health Care Needs (CSHCN)
- Community Health Worker Program (CHWP)
- Comprehensive Prenatal-Perinatal Services Networks
- Council on Children and Families
- Early Childhood Advisory Council (ECAC)
- Early Head Start – ONLY
- Early Intervention Program (DOH)
- Eat Well Play Hard
- Education Incentive Program (EIP) – Credit and Non-Credit
- Even Start Family Literacy Partnerships
- Facilitated Enrollment Demonstration Projects
- Family Leave Insurance
- Family Planning Extension Program (FPEP)
- Family Resource Centers
- Family Support Programs
- Farmers' Market Nutrition Program (FMNP)
- Financial Aid for Professional Development
- General Child Care Training
- Governor's Office of Employee Relations (GOER) Technical Assistance & Training
- Governor's Office of Employee Relations (GOER) Worksite Child Care Referral
- Growing Up Healthy Hotline
- Head Start – Only
- Head Start & Early Head Start Grantee Training
- Head Start Collaboration
- Head Start Early Childhood Specialist Contract
- Healthy Families New York State
- Healthy Mom/Healthy Baby
- Healthy Start
- Home Instruction for Parents of Preschool Youngsters (HIPPY)
- Home Visiting Programs
- Hunger Prevention and Nutrition Assistance Program
- Lead Poisoning Prevention Program (LPPP)
- Medicaid Early & Periodic Screening, Diagnosis & Treatment
- Mental Health Consultation
- Microcomputer Training
- Migrant & Seasonal Farm Worker Health Program
- Migrant & Seasonal Head Start Child Care
- Migrant and Seasonal Workers Head Start Training
- New Born Hearing Screening Program
- New Born Screening
- New York City Department of Health and Mental Hygiene
- New York City Early Childhood Professional Development Institute
- New York State Child Care Administration
- New York State Child Care Subsidy Program

- New York State Early Care and Learning Council (ECLC)
- New York State Early Learning Data System
- New York State Parenting Education Partnership
- Nurse Family Partnership (DOH)
- Nutrition and Physical Activity – Child care
- Office of Mental Health Family Support Programs
- Parent-Child Home Program, Inc.
- Parents as Teachers (PAT)
- Physically Handicapped Children's Program (PHCP)
- Preschool Special Education – Early Childhood Direction Centers
- Preschool Special Education – Full Day
- Preschool Special Education – Less Than Full Day
- Preschool Special Education Itinerant Teacher Services
- Preschool Special Education – Integrated (SC/IS) Full Day
- Preschool Special Education – Integrated (SC/IS) Less Than Full Day
- Preventive Dentistry Program
- Professional Development Child Care Grant
- Promotion of Child Health & Safety Start up Grants
- Public Libraries Literacy Programs & Operation
- Public Television
- Quality Child Care and Protection Act
- Quality Scholars
- QUALITYstarsNY
- QUALITYstarsNY Specialist
- Regional Perinatal Centers (RPC)
- Supplementary Fluoride Program
- TEACH system
- Teacher Certification
- Union Administered Quality Child Care Grants
- Universal Prekindergarten
- WIC Program – Women, Infants, Children
- Work Force Registry

Data Collection and Analysis

For each program, data on current funding, child enrollment, and costs per population (child, family and women) were collected. In addition, data was collected for facilities that provide services to children and families. Consequently, calculating per unit costs could include direct services and/or administrative costs. When possible, data were disaggregated into categories. Funding was disaggregated into source (federal, state, or other), and current enrollment was disaggregated into age and income brackets.

With the exception of costs for the New York State Child Care Subsidy Program, we used actual per-child costs provided by each program. Child care costs are based on per-hour estimates provided by Anne Mitchell and appear elsewhere in this report.

In the output tables in the web tool, the current funding and enrollment values represent the numbers we received from our data sources. The additional costs are based on the additional number of children in the system, based on user selections, multiplied by the population served or facilities. The total cost is simply the sum of the current funding and the additional costs. Enrollment and cost data are presented for individual programs, and as a sum across programs selected by the program filter.

Tool Features and Navigation

Users enter the Adjust Individual Programs Module on the introductory page (after logging in). On this page, users can retrieve an existing scenario or create a new one. When either button is clicked, the user is brought to the main landing page, which displays a list of program filters. For example, users can include or exclude programs based on a variety of characteristics, including ages served, program type, component, or income group served. As users select among these choices, programs matching the filtered list appear in the right column.

The summary data in the table at the top of the page reflects enrollment, funding, and cost sums across all filtered programs. Current funding figures represent the total amount of funding in the system for the most recent year data were available.

Within each program window, current funding and enrollment figures are also based on the most recent annual data available (See Appendix A: Funding and Enrollment Totals by Program). Additional costs and enrollment are based on user input, using the cost per unit figures detailed in the Programs, Descriptions, and Data Sources section of this report. As the user makes adjustments to the system, the total additional costs across all programs are summed and appear in the main output table. This methodology applies when adjusting for the number of facilities providing services.

List of Programs, Descriptions, and Data Sources

This section lists current funding, descriptions, and sources for each of the programs in the model. Appendix B presents a tabular list of each program; current funding disaggregated by source, current enrollment, and estimated cost per child.

A

Program Name: American Indian Health Program

Description: The American Indian Health Program (AIHP) provides access to primary medical care, dental care and preventive health services for Native Americans living in reservation communities. Health care is provided to enrolled members of nine recognized American Indian Nations in New York State through contracts with four hospitals. The program covers payments for prescription drugs, durable medical equipment, laboratory services and contracts with the American Indian Nations that provide on-site primary care services.

Current Funding: The total state expenditure between July 1, 2012 and June 30, 2013 was \$19,227,300.

Current Enrollment: The total enrollment between July 1, 2012 and June 30, 2013 was 917. Note: Since the American Indian Health Program does not receive data regarding health care services provided at the nation run clinics, and six of the nine nations do not access the AIHP Pharmacy Program, the data reflects an estimated number of active pharmacy cards used to receive services.

Cost Per Child: The cost per enrollee between July 1, 2012 and June 30, 2013 was \$20,968

Data Source: New York State Department of Health

Program Name: American Indian Health Program (AIHP) Clinics

Description: The American Indian Health Program (AIHP) Clinics provides access to primary medical care, dental care and preventive health services for Native Americans living in reservation communities such as: medical care, including prenatal care, pediatrics; dental and foot care; diabetes education; mental health counseling; and Enrollment services for Child Health Plus (CHP), Family Health Plus (FHP), Women, Infants, Children (WIC).

Current Funding: The total state expenditure between July 1, 2012 and June 30, 2013 was \$3,515,903.

Total Number of Clinics: The total of American Indian Health Program Clinics between July 1, 2012 and June 30, 2013 was 9

Cost Per Clinic: The average cost per clinic between July 1, 2012 and June 30, 2013 was \$392,434.

Data Source: New York State Department of Health.

C

Program Name: Campus Based Child Care Centers at City University of New York (CUNY) and State University of New York (SUNY)

Description: The City University of New York (CUNY) child care program provides on-campus child care at 19 two- and four-year colleges and the State University of New York (SUNY) childcare centers provides on-campus child care at 53 two- and four-year colleges. The child care centers provide care to children of students, faculty, campus staff and the community. The child care services provided at the campuses meet the students' individual needs by providing services to children of various ages and providing child care during weekend and evenings if needed. In addition, the child care centers provide sites for student interns and placements for work-study students.

Current Funding: The funding authorized for on campus child care in the State Fiscal Year 2013-2014 enacted budget was \$6,838,100 of which \$4,986,000 supported by federal funds and \$1,852,100 supported by state funds. Of the \$6.8 million, New York City's funding amount was \$3,486,100.

Current Enrollment: The potential enrollment at the on campus child care centers could be 4,226. Of the potential enrollment 1,718 are in New York City.

Cost Per Child: The estimated per unit cost for on-campus child care could be \$1,618. Assumption: The administrative costs associated with on-campus child care centers can be much lower than traditional child care costs.

Data Source: City University of New York and State University of New York web site and State Fiscal Year 2013-2014 Enacted Budget.

Program Name: Child and Adult Care Food Program (CACFP)

Description: Provides reimbursement to center- or family based day care sites to provide nutritious meals and snacks to children, adults and disabled adults in day care or after school programs.

Current Funding: Total federal expenditure between October 1, 2012 and September 30, 2013 was \$225,068,516.

Current Enrollment: The total number of children enrolled each month between October 1, 2012 and September 30, 2013 in center based or family day care site was 328,058.

Cost Per Child: Total annual cost per child between October 1, 2012 and September 30, 2013 was \$686.

Data Source: New York Department of Health

Program Name: Child and Family Clinics

Description: Child and Family Clinics works closely with families to help with early identification of emotional needs and engage the child and family in the development of a plan of care designed to minimize the symptoms and adverse effects of illness, maximize wellness, assist the child in developing a resilient and hopeful approach to school, family and community and maintain the child in his or her natural environment.

Current Funding: Total State funding between January 1, 2011 and December 31, 2011 was \$1,684,127.

Data Source: New York State Office of Mental Health

Program Name: Child Care and Resource Referral Centers (CCRRS)

Description: Child Care and Resource Referral Centers (CCR&R) provides information specific to providers on topics such as: best practices for providing child care, indicators of quality child programs, information on business administration practices and regulations governing program compliance issues specific to a child care program. CCR&Rs provide child care resource development functions to support prospective child care provider applicants in the process and assist the providers on how to maintain resources like the toy lending library and provide community outreach services. CCR&Rs participate in programmatic reviews conducted by New York State Office of Children and Family Services (OCFS). CCR&Rs are required to develop and maintain a referral database (NACCRAWARE) and assure the availability of the Child and Adult Care Food Program (CACFP) for day care providers through either direct administration or through planning, advocacy and coordination with other appropriate local sponsoring agencies for both regulated and legally-exempt providers. In addition, CCR&Rs provide services to assist providers in complying with the regulations concerning health care plans, administering mediation and the care of infants and children who are mildly ill. Training and technical assistance is provided to child care providers through all modes including: written and/or verbal communication such as phone, email and fax, as well as in person for at least one hour in length. All individuals working in licensed and registered programs are eligible for the services provided by the CCR&Rs. In addition to providing services that support child care providers, CCR&R's provide services to families to assist them in making an appropriate child care selection.

Current Funding: The total federal funding for contract period April 1, 2013 and March 31, 2014 will be \$16,630,267. Of the \$16.6 million, New York City's funding amount will receive \$13,450,472.

Current Enrollment: The total anticipated number of CCR&R contractors for the contract period April 1, 2013 and March 31, 2014 is 32 in which one contractor is located in New York City.

Cost Per Child: The average contract amount for 32 CCR&Rs for contract period April 1, 2013 and March 31, 2014 is \$519,696.

Data Source: New York State Office of Children and Family Services

Program Name: Child Care and Resource Referral Centers Infant/Toddler Centers

Description: Child Care and Resource Referral Centers (CCR&R) Infant Toddler Resource Centers provide basic, intensive technical assistance and best practices training to Infant/Toddler child care programs. In addition, they assist in expanding Infant/Toddler services within the community. The Regional Infant and Toddler Resource Centers creates a statewide framework to promote an understanding of the importance of, and strategies for, improving the quality of care for infants and toddlers.

Current Funding: The total federal funding for contract period April 1, 2013 and March 31, 2014 will be \$1,100,000. Of the \$1.1 million, New York City's funding amount will be \$350.

Current Enrollment: The total number of CCR&R Infant/Toddler Centers for the contract period April 1, 2013 and March 31, 2014 will be 8 and one will be located in New York City.

Cost Per Contract: The upstate CCR&R Infant/Toddler Centers contract amounts for contract period April 1, 2013 and March 31, 2014 will be \$125,000. New York City's CCR&R Infant/Toddler Centers contract amount for the same contract period will be \$350,000.

Data Source: New York State Office of Children and Family Services.

Program Name: Child Care Resource and Referral (CCR&R) Legally Exempt Registration

Description: Child Care Resource and Referral (CCR&R) is responsible for registering legally-exempt providers that care for children receiving New York State child care subsidies. Legally Exempt child care providers are registered by meeting identified performance levels within a required timeframe.

Current Funding: Total federal funding for April 1, 2013 and March 31, 2014 will be \$9,487,991. Of the \$9.5 million, New York City's funding amount is \$3,334,098.

Current Enrollment: The total number of CC&Rs centers in April 1, 2013 and March 31, 2014 will be 32.

Cost Per Contract: The average contract amount in April 1, 2013 and March 31, 2014 for CCR&Rs center will be \$307,750.

Data Source: New York State Office of Children and Family Services

Program Name: Child Care Subsidy Training

Description: Provides child care subsidy training to local districts and contract agency staff involved in meeting programmatic mandates associated with the New York State's Child Care Subsidy Program.

Current Funding: Total federal expenditure between January 1, 2012 and December 31, 2012 was \$384,482.

Current Enrollment: The total number of child care professionals trained between January 1, 2012 and December 31, 2012 was 635.

Cost Per Child: Total average cost per trained child care professional between January 1, 2012 and December 31, 2012 was \$605.

Data Source: New York State Office of Children and Family Services

Program Name: Child Care Time and Attendance Payment System

Description: The goal of the Child Care Time and Attendance Payment System is to improve the accuracy of child care subsidy payments through a web-based system that tracks the attendance of subsidized children at child care programs and calculates payments for those services.

Current Funding: The total federal funding for the Child Care Time and Attendance Payment System over four years for development and implementation is estimated at \$6,900,000.

Data Source: New York State Office of Children and Family Services.

Program Name: Child Care Centers in the Court

Description: The Office of Court Administration (OCA) child care centers serve as a place where families can bring their children while they are in litigation. Caregivers and parents are provided with information about services such as Head Start, child care, the Women-Infant and Children (WIC) program, etc.

Current Funding: The total funding authorized in State Fiscal Year 2013-2014 enacted budget was \$1,463,300. Of the total funding, \$1,133,300 was supported by state funds and \$330,000 by federal funds. Of the \$1.4 million, New York City received \$1,007,441.

Current Enrollment: The potential number of children enrolled in State Fiscal Year 2013-2014 could be 52,000 of which, 43,449 enrollees could be located into New York City.

Cost Per Child: The potential cost per child supported by federal and state funds could be \$28.00.

Data Source: State Fiscal Year 2013-2014 Enacted Budget

Program Name: Children with Special Health Care Needs (CSHCN)

Description: Local Health Departments (LHD) along with their internal partners work closely with community-based and professional organizations to develop and implement systems initiatives to improve quality of services for children with special health care needs. The Children with Special Health Care Needs (CSHCN) program has 56 contracts with LHDs to provide services to children with special health care needs from birth to 21 and provide supportive services to the family. Expenditures and technical assistance from the New York State Department of Health (DOH) and the local CSHCN help develop community-based resources to: assist families in accessing necessary health care and related services; promote "medical homes" for the provision of high-quality health care services that meet the needs of children and families; and develop partnerships with families of children with special health care needs that involve them in program planning and policy development. CSHCN Programs in conjunction with the DOH's Wadsworth Laboratories' Newborn Screening Program oversee a statewide network of specialty centers that accept referrals of infants with positive newborn screens for endocrine, metabolic, hemoglobinopathies disorders or cystic fibrosis.

Current Funding: The total federal expenditure between October 1, 2011 and September 30, 2012 was \$1,574,611. New York City's portion of the total expenditure was \$161,939.

Current Enrollment: The total number of enrollees between October 1, 2011 and September 30, 2012 was 1,864. Enrollees represent services to children and women receiving prenatal and post- partum services. Of the total number of enrollees, 192 are estimated to be located in New York City. New York City enrollment data was based on their portion of federal expenditures.

Cost Per Child: Total cost per enrollee between October 1, 2011 and September 30, 2012 was \$845.

Data Source: New York State Department of Health

Program Name: Council on Children and Families

Description: The Council on Children and Families is authorized to coordinate the state health, education and human services systems as a means to provide more effective systems of care for children and families. Although the Council does not have direct responsibility for the operation of programs or the provision of services, instead, the Council orients its priorities toward the development of comprehensive and coordinated systems of care that respond to the wide needs of children and families in New York.

Current Funding: Total funding for 2011 was \$390,883, being a combination of state and federal funding along with in-kind services. The funding supports staff salary and non-personal cost associated with staff and contracts.

Data Source: New York State Office of Children and Family Services Council on Children and Families

E

Program Name: Early Childhood Advisory Council

Description: The New York State Early Childhood Advisory Council (ECAC) helps strengthen statewide coordination and collaboration among a wide range of early childhood programs and services in the state, including child care, Head Start, Early Head Start, Individuals with Disabilities Education Act (IDEA) preschool and infants, family supportive programs, pre-kindergarten and other early childhood care and education programs.

Current Funding: Total federal funding between August 1, 2010 and July 31, 2011 was \$2,816,600. The funding supports staff salary and non-personal cost associated with staff and contracts.

Data Source: New York State Office of Children and Family Services

Program Name: Early Head Start - ONLY

Description: Early Head programs promote healthy prenatal outcomes for pregnant women and enhance children's development from birth to 3 years of age. The Early Head Start program enhances a child's social and cognitive development of children through the provision of educational, health, nutritional, social and other services to enrolled children and families. They engage parents in their children's learning and help them make progress toward their educational, literacy and employment goals. Significant emphasis is placed on the parent involvement in the administration of local Head Start programs. Also the program promotes healthy prenatal outcomes for pregnant women.

Current Funding: Total cost of federally funded slots between October 1, 2011 and September 30, 2012 was \$118,865,647.

Current Enrollment: Total funded slots between October 1, 2011 and September 30, 2012 were 6,484. Of the total funded slots 1,712 were in New York City.

Cost Per Child: Total cost per between October 1, 2011 and September 30, 2012 was \$18,332.

Data Source: New York State Office of Children and Family Services – Head Start Collaboration

Program Name: Early Intervention Program

Description: The Early Intervention Program is a statewide service delivery system for infants and toddlers (birth to three years) with developmental delays, disabilities, or both providing supportive services to the families. The mission of the program is to identify and evaluate those children whose healthy development is compromised and provide appropriate interventions to improve child and family development. To be eligible for services, infants and toddlers must have a delay in one or more areas of development (physical growth or development, learning skills, speech and language development) or a physical or mental diagnosis that impacts on development such as, cerebral palsy, Autism or Down Syndrome. The program is administered within 57 counties.

Current Funding: Total funding between July 1, 2012 and June 30, 2013 was \$623,000,000, of which \$274,000,000 was supported by federal funds, \$170,000,000 by state funds, \$163,000,000 by local funds and \$16,000,000 by private insurance. Of the total funds, New York City could have received \$412,515,604.

Current Enrollment: Total enrollment between July 1, 2012 and June 30, 2013 was 69,000, of which 34,040 were located in New York City.

Cost Per Child: Total cost per child in July 1, 2012 and June 30, 2013 was \$9,029.

Data Source: New York State Department of Health

Program Name: Eat Well Play Hard in Child Care Settings

Description: Eat Well Play Hard in Child Care Settings seeks to improve nutrition and physical activity behaviors by using the child care settings to influence food and activity practices for children between the ages of three and four years and their family.

Current Funding: Total expenditures between October 1, 2012 and September 30, 2013 were \$2,562,819, of which \$1,277,171 was supported by federal funds and \$1,285,648 by state funds.

Current Enrollment: The total number of children, family members, and child care staff served each month between October 1, 2012 and September 30, 2013 was 15,575.

Cost Per Child: The total per child costs to administer the program between October 1, 2012 and September 30, 2013 was \$165.

Data Source: New York State Department of Health

Program Name: Education Incentive Program

Description: The Education Incentive Program (EIP) provides scholarships and rebates to child care providers to attend professional development programs for both: (1) Credit Courses are consistent with continuing college credit courses that can be delivered in a class or virtual classrooms for either a full or half day. (2) Non-Credit Courses which support the participation in conferences, workshops and other training venues that do not lead to a college credit.

Current Funding: Total federal expenditures between January 1, 2012 and December 31, 2012 for Credit Courses were \$ 2,680,892 and \$ 6,450,213 for Non-Credit Courses.

Current Enrollment: The total recipients of a scholarship or rebate between January 1, 2012 and December 31, 2012 for participants in a Credit Courses were 2,697 and 12,106 for Non-Credit Courses.

Cost Per Scholarships or Rebate: The average scholarship or rebate between January 1, 2012 and December 31, 2012 for a Credit Course was \$994 and Non-Credit Course was \$533.

Data Source: New York State Office of Children and Family Services

Program Name: Even Start Family Literacy Partnerships

Description: The Even Start Family Literacy Partnerships program provides intensive family literacy services that involve parents and children in a cooperative effort in order to become full partners in the education of their children and assist children in reaching their full potential as learners.

Current Funding: Total expenditures between April 1, 2010 and March 31, 2011 were \$5,643,019, of which \$2,347,241 was supported by federal funds and \$3,295,778 by local funds. Of this total, New York City's expenditures were \$1,358,276.

Current Enrollment: The total number of children served between April 1, 2010 and March 31, 2011 was 817, of which 220 children served lived in New York City.

Cost Per Child: Total cost per child between April 1, 2010 and March 31, 2011 was \$6,907.

Data Source: New York State Education Department

F

Program Name: Facilitated Enrollment Demonstration Projects

Description: There are three child care facilitated enrollment programs currently funded in New York State with the objective of expanding eligibility for subsidized care to include working families earning up to 275% of the Federal Poverty Level. The programs seek to assist working families' access to child care subsidies by facilitating the enrollment process for families. There are three Facilitated Enrollment Demonstration Projects. (1) Capital Region and Oneida County provides services to families in the counties of Albany, Oneida, Rensselaer and Schenectady. The New York State American Federation of Labor and Congress of Industrial Organizations (AFL-CIO) Workforce (VDI) is designated to administer the project. (2) New York City Facilitated Enrollment Demonstration Projects includes Bronx, Brooklyn, Liberty Zone, Queens and the Senate Queens Program. The Consortium for Worker Education (CWE) is designated to administer the project. (3) Monroe County Facilitated Enrollment Demonstration Project provides facilitated enrollment services to families in Monroe County. The Monroe County Chapter of New York Union Child Care Coalition (NYUCCC) of the AFL-CIO designated the Children's Institute (CI) to administer the project.

Current Funding: The federal funds to support the Facilitated Enrollment contracts between April 1, 2013 and March 31, 2014 were \$7,625,000. Of the \$7.3 million, New York City funding was \$3,442,000.

Current Enrollment: The total number of children who reside in the identified facilitated enrollment counties and their families earned up to 275% of the Federal Poverty Level was 2,160. Of the 2,160 children enrolled, 1,373 were enrolled in programs in New York City.

Cost Per Child: The average cost per child between January 1, 2012 and December 31, 2012 will be approximately \$3,530.

Data Source: New York State Office of Children and Family Services.

Program Name: Family Leave Insurance

Description: The New York State Family Leave Insurance Program will cover employed New Yorkers who need time off to care for a newborn or newly adopted child, or a seriously ill family member. The Family Leave Insurance Program provides wage replacement while out of work for up to 12 weeks.

Program Name: Family Planning Extension Program (FPEP)

Description: The Family Planning Extension program expands Medicaid benefits for family planning related services that are available 26 months at the end of a pregnancy for women who had previously been on Medicaid while pregnant, but who subsequently became ineligible.

Current Funding: Total funding between January 1, 2011 and December 31, 2011 was \$2,671,657, of which \$1,335,828 came from state funds and \$1,335,829 came from local Medicaid match funds. Of the total funds, \$235,342 was allocated to New York City.

Current Enrollment: Total enrollment between January 1, 2011 and December 31, 2011 was 15,209, of which were 1,226 enrolled in New York City.

Cost Per Child: Total cost per child between January 1, 2011 and December 31, 2011 was \$176.

Data Source: New York State Department of Health

Program Name: Family Resource Centers (FRC)

Description: Family Resource Centers (FRC) focus on child abuse prevention and provide families with a wide range of FRC services. This open environment helps reduce the stigma some associate with child abuse prevention programs and provides opportunities to build community relationships.

Current Funding: Total federal funding between October 1, 2011 and September 30, 2012 was \$1,652,497. Of the \$1.6 million, New York City received an estimated \$181,672 of this total.

Current Enrollment: Total enrollment between October 1, 2011 and September 30, 2012 was 5,494, of which 604 were enrolled in New York City.

Cost Per Child: Total cost per child between October 1, 2011 and September 30, 2012 was \$301.

Data Source: New York State Office of Children and Family Services

Program Name: Family Support Program

Description: The Family Support Program is a combination of using community resources and partnerships to support families and provide families with guidance to identify family goals, strengths, and necessary services to enhance the family and their child's development.

Current Funding: The estimated cost for providing Family Support services is \$26,453,700. Of the total estimated cost, New York City's cost for Family Support services could be approximately \$10,504,260

Total Staff: The estimated number of Family Workers to provide Family Support services statewide is 1,757 and of the total 665 Family Workers in New York City.

Costs per Staff member: The estimated average salary statewide for a Family Worker could be \$15,056.

Data Source: Indeed Job Search

Program Name: Farmers' Market Nutrition Program (FMNP)

Description: Farmer's Market Nutrition Program provides six, \$4 checks to women through the Women, Infants and Children Program (WIC) to purchase locally grown fresh fruits and vegetables and to expand the awareness and use of local framers' markets.

Total Families Served: The total number of checks distributed between October 1, 2011 and September 30, 2012 was approximately 272,000, serving approximately 45,330 families.

Current Funding: Total funding between October 1, 2011 and September 30, 2012 was \$4,340,958, of which \$3,447,104 came from federal funds and \$893,854 came from state funds.

Current Enrollment: Total number of checks distributed between October 1, 2011 and September 30, 2012 was 47,330.

Cost Per Family: The average amount of each check between October 1, 2011 and September 30, 2012 was \$92.

Data Source: New York State Department of Health

Program Name: Financial Aid for Professional Development

Description: No description or data available.

G

Program Name: General Child Care Training

Description: New York State Office of Children and Family Services provides mandated, general and career enhancement training to child care providers, family child care, group family child care, school age child care and child care centers. The mandatory training requires all new child care providers or employees to complete at a minimum 15 hours of training during the first 6 months of registration or licenses or employment, and 30 hours of training every two years thereafter. Also included as part of General Child Care Training is the Medication Administration Courses (MAT) training to health care consultants and other trainers in the field of early child care and education; and safety training for child care and school age providers on CPR, child care first aid, CPR recertification and health and safety job duties in a child care setting.

Current Funding: Total federal funding between January 1, 2012 and December 31, 2012 was \$4,234,604.

Current Enrollment: Total number of enrollees between January 1, 2012 and December 31, 2012 was 60,698.

Cost Per Enrollee: Average cost per trainee between January 1, 2012 and December 31, 2012 was \$70.

Data Source: New York State Office of Children and Family Services

Program Name: Governor's Office of Employee Relations (GOER) Child Care Technical Assistance & Training

Description: The Worksite Child Care Centers provides support to 36 New York State worksite child care centers through funding from the Governor's Office of Employee Relations (GOER) and multiple collective bargaining agreements between the State and the public employee unions. Such support includes center-specific technical assistance, training and a variety of grants.

Current Funding: Total funding between April 1, 2010 and March 31, 2011 was \$500,000, all of which supported by union dues.

Total Referrals: Total referrals April 1, 2010 and March 31, 2011 were 36.

Cost Per Child Care Center: The total average cost per child care center in between April 1, 2010 and March 31, 2011 was \$13,888.

Data Source: Governor's Office of Employee Relations

Program Name: Growing Up Healthy Hotline

Description: The Growing Up Healthy Hotline provides information about health care, nutrition and other health and human services. The hotline provides information and referral 24 hours per day, seven days a week in English, Spanish and other languages.

H

Program Name: Head Start

Description: Head Start programs promote school readiness for children age 3-5 by enhancing the social and cognitive development of children through the provision of educational, health, nutritional, social and other services to enrolled children and families. They engage parents in their children's learning and help them make progress toward their educational, literacy and employment goals. Significant emphasis is placed on the parent involvement in the administration of local Head Start programs. Children who live in low-income families shall be eligible for participation in programs assisted under this subchapter if their families' incomes are below the poverty line, or if their families are eligible or, in the absence of child care, would potentially be eligible for public assistance.

Current Funding: Total federally funded slots between October 1, 2011 and September 30, 2012 was \$358,277,941. New York City's portion of the total funded slots between October 1, 2011 and September 30, 2012 was \$190,591,217.

Current Enrollment: Total funded slots between October 1, 2011 and September 30, 2012 was 44,764. Of the total funded slots 19,311 were in New York City

Cost Per Child: Total per unit slot funding between October 1, 2011 and September 30, 2012 was \$8,004.

Data Source: New York State Office of Children and Family Services – Head Start Collaboration

Program Name: Head Start and Early Head Start Training

Description: Training is managed by Head Start and Early Head Start grantees and grantees that administer Early Head Start and Head Start combined program.

Current Funding: Total federal funding between October 1, 2011 and September 30, 2012 was \$3,779,096.

Total Grants: Total number of grants between October 1, 2011 and September 30, 2012 was 97.

Cost Per Grantee: The average allocation per grantee between October 1, 2011 and September 30, 2012 was \$38,960.

Data Source: New York State Office of Children and Family Services Head Start Collaboration

Program Name: Head Start Collaboration

Description: The Head Start Collaboration is designed to build partnerships between Head Start programs and a wide range of state and local programs that provide quality early childhood education and family support to low-income children and their families.

Current Funding: Total funding between October 1, 2011 and September 30, 2012 was \$267,242, of which \$211,400 was supported by federal funds and \$55,842 by state funds. The funding supports staff salary and non-personal cost associated with staff and contracts.

Data Source: New York State Office of Children and Family Services

Program Name: Head Start Early Childhood Training Specialist Contract

Description: Head Start Early Childhood Training Specialist Contract is a federal training contract that supports Early Childhood Specialists that provides training and technical assistance to Head Start and Early Head Start grantees.

Current Funding: Total federal funding between October 1, 2011 and September 30, 2012 was \$3,194,580.

Current Enrollment: The total number of training specialist shared between New York and New Jersey between October 1, 2011 and September 30, 2012 was 12.

Data Source: New York State Office of Children and Family Services Head Start Collaboration

Program Name: Healthy Families New York State

Description: The Healthy Families New York program is a home visiting program that offers systematic assessment of pregnant women and new parents at risks that may lead to child abuse and poor health care development outcomes.

Families identified with high risk factors are offered long-term home visiting services until the child is in school or Head Start. The home visiting services focus on supporting parents and building on the inherent strength of families.

Current Funding: Total funding between April 1, 2009 and March 31, 2010 was \$26,781,430, of which \$5,822,000 supported by federal funds, \$17,466,200 by state funds, and \$3,493,230 funded from other funds. Of the \$26.8 million, New York City received \$6,955,328.

Current Enrollment: Total number of families enrolled between April 1, 2009 and March 31, 2010 was 5,587, of which 1,669 families were enrolled in Healthy Families New York State programs in New York City.

Cost Per Child: Total cost per in between April 1, 2009 and March 31, 2010 was \$4,794.

Data Source: New York State Office of Children and Family Services

Program Name: Healthy Start

Description: The Healthy Start is a home visiting program that uses a community-driven model to mobilize and coordinate community resources as a method to reduce infant mortality. The goals of the program are to: reduce racial and ethnic disparities in access to and utilization of health services, improve the local health care system and increase consumer participation in health care decisions. The program is administered by the requirements outlined by the Federal Health Resources and Services Administration (HRSA). There are five Healthy Start home visiting programs in New York State (Rochester, Onondaga, Central Harlem, Brooklyn and Queens/Nassau/Suffolk).

Program Name: Home Instruction for Parents of Preschool Youngsters (HIPPY)

Description: The Home Instruction for Parents of Preschool Youngsters (HIPPY) is a home visiting program which supports parents who may not feel sufficiently confident to prepare their children for school. HIPPY gives parents the tools and support they need and deserve in order to help their children learn and create a learning environment in the home.

Current Funding: Total private funding for 2011 was approximately \$85,000.

Current Enrollment: Total enrollment in 2011 was 40 families.

Cost Per Child: Total cost per family in 2011 was \$2,125.

Data Source: New York State Home Instruction for Parents of Preschool Youngsters (HIPPY)

Program Name: Home Visiting Programs

Current Funding: Total funding \$44,656,945, of which \$16,256,217 came from federal funds, \$20,892,700 from state funds, \$5,000 from local funds and \$7,503,028 from foundations or other sources. The Home Visiting programs included into the total funding amounts are as follows:

- Home Instruction for Parents of Preschool Youngsters (HIPPY) (SED)
- Parents as Teachers (PAT)
- Parent-Child Home Program, Inc
- Healthy Families New York State
- Nurse Family Partnership

Program Name: Hunger Prevention and Nutrition Assistance Program (HPNAP)

Description: The goal of the Hunger Prevention and Nutrition Assistance Program (HPNAP) is to help New Yorkers lead a more healthy, productive and self-sufficient life. Specifically this program strives to improve the quality of food available at Emergency Feeding Programs (EFPs) such as food banks, soup kitchens and emergency shelters in New York State. These foods are then distributed to low income populations.

Current Funding: Total funding between April 1, 2012 and March 31, 2013 was \$29,952,500 of which \$250,000 is federal funds and \$29,702,500 are state funds.

Current Enrollment: Assumption: The total maximum number of children zero to five enrolled could be 1,465,524 (Total number of children in New York State between the ages of 0-5). Of the total number of children 37 percent of the children live in a family in which their income is 185 percent below the Federal Poverty Level. Consequently, approximately 545,728 children and their families would receive food distributed to an Emergency Food Relief Organizations (EFRO) such as food banks, soup kitchens and emergency shelters.

Cost Per Child: The potential per unit cost is \$55.

Data Source: New York State Department of Health

L

Program Name: Lead Poisoning Prevention Program (LPPP)

Description: The goal of the Lead Poisoning Prevention Program (LPPP) is to reduce the occurrence and consequences of childhood lead poisoning throughout the state.

Current Funding: Total expenditures between January 1, 2010 and December 31, 2010 were \$7,772,531, of which \$3,059,831 was supported by federal funds and \$4,712,700 by state funds. Of the total, New York City's expenditures were \$3,290,393.

Current Enrollment: The total number of children who received case management services between January 1, 2010 and December 31, 2010 there were 2,924. Of the total, 1,277 children received case management services in New York City.

Cost Per Child: Total cost per child between January 1, 2010 and December 31, 2010 was \$2,658.

Data Source: New York State Department of Health

M

Program Name: Maternal and Infant Community Health Collaboratives (MICHC)

Description: The Department's new MICHC initiative supports 24 MICHC projects in high-risk communities across the state for a five-year project period 10/1/13 to 9/30/18. MICHC grantees collaborate with community partners to assess and prioritize specific community needs and strengths, and to select and implement specific improvement strategies to address those needs. The overall goal is to improve maternal and infant health outcomes for high-need low-income women and their families while reducing persistent racial, ethnic and economic disparities in those outcomes. Specific priority outcomes for this initiative include preterm births, low birth weight, infant mortality and maternal mortality. To positively impact these four key outcomes, MICHC activities seek to address maternal and infant health behaviors, supports and service systems across three key life course stages: preconception, prenatal/postpartum and inter-conception, to achieve four objectives, or performance standards:

- High-need women and infants are enrolled in health insurance;
- High-need women and infants are engaged in health care and other supportive services appropriate to their needs;
- The medical, behavioral and psychosocial risk factors of high-need women and infants are identified and addressed through timely and coordinated counseling, management, referral and follow-up; and
- Within the community there are supports and opportunities in place that help high-need women to be engaged in and maintain healthy behaviors and reduce or eliminate risky behaviors.

The MICHC is a statewide initiative which targets women, infants and their families with a particular focus on Medicaid-eligible individuals and populations, residing in the highest need communities. In addition, all MICHC grantees will conduct activities to increase awareness, accessibility and utilization of family planning services among Medicaid-eligible preconception and inter-conception women.

Current Funding: Estimated expenditures for the first year between October 1, 2013 and September 30, 2014 for the new initiative is \$9,985,708, of which \$4,830,365 will be supported by federal funds and \$ 5,155,343 by local funds.

Program Name: Medicaid Early and Periodic Screening, Diagnosis and Treatment

Description: Medicaid Early and Periodic Screening, Diagnosis and Treatment Program assures comprehensive preventive and diagnostic health care services for Medicaid eligible children.

Program Name: Mental Health Consultation

Description: Mental Health Consultation provides clinical expertise and identifies important contributors to healthy social-emotional competence.

Current Funding: Total expenditures not supported by federal, state or local funds is \$1,088,905

Data Source: New York State Early Childhood Advisory Council Prototype Communities Project.

Program Name: Microcomputer Training

Description: Microcomputer Training is provided to New York State Office of Children and Family Services (OCFS) Division of Child Care and the Child Care Resource and Referral (CCR&R) staff for administering the licensing, registering and inspection of child care facilities. A blended approach has automated the licensing, registration and inspection of New York State child care facilities. Training consists of customized instructor led classroom training, distance learning courses and on-site training/technical assistance.

Current Funding: Total funding between April 1, 2010 and March 31, 2011 was \$535,000, all of which came from federal funds.

Data Source: New York State Office of Children and Family Services

Program Name: Migrant and Seasonal Farm Worker Health Program (MSFW)

Description: New York State's Migrant and Seasonal Farm Worker (MSFW) Health Program provides families with access to high quality, linguistically appropriate health and support services. Each contractor provides a different array of services that may include some or all of the following: outreach, health screening, primary and preventive medical and dental services, case management, translation, transportation, health education and linkage to services provided by other health and social support programs. Services are designed to reduce the barriers faced by migrants in accessing health care, such as inconvenient hours, lack of bilingual staff, lack of transportation, lack of follow-up. A mainstay of migrant health services is outreach and the provision of health screening, referral and follow-up activities to migrants in camp settings.

Current Funding: Total expenditures between April 1, 2011 and March 31, 2012 were \$1,228,185, of which \$822,185 was supported by federal funds and \$406,000 by local funds.

Current Enrollment: Total enrollment between April 1, 2011 and March 31, 2012 was 2,420.

Cost Per Child: Total cost per child between April 1, 2011 and March 31, 2012 was \$508.

Data Source: New York State Department of Health

Program Name: Migrant and Seasonal Workers Head Start & Child Care Program

Description: The Migrant and Seasonal Workers Head Start & Child Care program provides the following services to migrant and seasonal workers within New York State: (1) Head Start: Head Start programs promote school readiness for children age 3-5 by enhancing the social and cognitive development of children through the provision of educational, health, nutritional, social and other services to enrolled children and families. They engage parents in their children's learning and help them make progress toward their educational, literacy and employment goals. Significant emphasis is placed on the parent involvement in the administration of local Head Start programs. Children who live in low-income families shall be

eligible for participation in programs assisted under this subchapter if their families' incomes are below the poverty line, or if their families are eligible or, in the absence of child care, would potentially be eligible for public assistance. NOTE: Not to exceed 10 percent of participants, children who could benefit from the Head Start Program living within a Head Start area can be enrolled even if their family does not meet the income eligibility. (2) Early Head Start: Early Head Start programs promote healthy prenatal outcomes for pregnant women and enhance children's development from birth to 3 years of age. (3) Child Care services: Children are placed in a supervised and cared for by a person other than a parent or caregiver.

Current Funding: Total federal expenditure as of March 2013 was \$11,630,615.

Total Child Care Development Days: The total number of Child Care Development Days as of March 2013 was 124,066. Note: Child Care Development Days were used instead of the number of children served because the federal Head Start, Early Head Start and child care fund are "blend" together as a way to provide services to all children, no matter what the funding source. In addition, Child Care Development Days also mitigate the "ins and outs" of migrant children coming and leaving throughout the year and really uses attendance days to isolate that factor and captures the longer days of operation by the providers.

Cost Per Child: Total cost per Child Care Development Day as March 2013 was \$94.

Data Source: New York State Agri-Business Child Development Program (ABCD)

Program Name: Migrant and Seasonal Workers Head Start & Child Care Training

Description: The Migrant and Seasonal Workers Head Start & Child Care Training provided to Migrant and Seasonal Workers Head Start & Child Care providers.

Current Funding: Total federal funding between April 1, 2010 and March 31, 2011 was \$106,000.

Current Enrollment: The total number of providers between April 1, 2010 and March 31, 2011 was 10.

Cost Per Child: Total average cost per provider between April 1, 2010 and March 31, 2011 was \$10,600.

Data Source: New York State Agri-Business Child Development Program (ABCD)

N

Program Name: Newborn Hearing Screening Program

Description: This program is often called Universal New Born Screening and is also known as Early Hearing Detection and Intervention Program (EHDI). Newborn infants are required to receive hearing screening in all of New York State's birthing facilities. Infants who do not pass newborn hearing screening are required to be referred for further screening, evaluation or early intervention services as appropriate. Newborns who do not pass the initial screening and do not pass the hearing test receive a prescription to have an outpatient hearing screening, either at the birthing hospital or from a

provider qualified to perform the screening in their community. The prescription includes a request that the results of the follow-up hearing screening be returned to the birthing facility.

Current Funding: Total federal funds allocated between January 1, 2011 and December 31, 2011 was \$411,720. The funding supports the follow-up screening. Reimbursement for the initial new born hearing screening services is available through Medicaid fee-for-service, Medicaid Managed Care, or commercial insurance. If a child is determined to be eligible for Child Health Plus B, hearing screening is a covered benefit.

Current Enrollment: The estimated number of follow-up screenings between January 1, 2011 and December 31, 2011 was 9,589.

Cost Per Child: The cost per follow-up screening between January 1, 2011 and December 31, 2011 was approximately \$43.

Data Source: New York State Department of Health and New York State Department of Health web site

Program Name: New Born Screening

Description: New Born Screening is required for all infants born in New York State. They are screened for 46 conditions including HIV, inherited metabolic conditions, hemoglobinopathies including sickle cell disease, cystic fibrosis, endocrine disorders, Krabbe Disease and most recently Severe Combined Immunodeficiency (SCID).

Current Funding: The State Insurance Fund Special Revenue Account contributed \$11,900,000 for the period between April 1, 2013 and March 31, 2014.

Current Enrollment: The total number of screenings supported by the revenue fund between April 1, 2013 and March 31, 2014 will be approximately 245,000.

Cost Per Child: Total cost per screening between April 1, 2013 and March 31, 2014 was \$49.

Data Source: New York State Department of Health

Program Name: New York City Department of Health and Mental Hygiene

Description: The New York City Child Care Department of Mental Health (NYC-DMH) provides licensing, monitoring, regulatory review and oversight to the child care centers and family child care New York City.

Program Name: New York City Early Childhood Professional Development Institute (NYCECPDI)

Description: New York City Early Childhood Professional Development Institute (NYCECPDI) provides education and training, career development services, early childhood education resources and engages in research, public policy development and the development of the New York Works for Children: Career Development System.

Program Name: New York State Child Care Administration

Description: New York State Child Care Administration is responsible for licensing, monitoring and oversight administered by New York State Office of Children and Family Services the Division of Child Care Services by the Central and Regional Office staff.

Administrative function is as follows: Staff to administer the criminal history review, legal enforcement, and oversight of child care providers; reviews associated with criminal history administered by the New York State Division of Criminal Justice and a contract with Live Scan.

Current Funding: Total federal funding to administer the licensing, monitoring and oversight of upstate child care providers between April 1, 2010 and March 31, 2011 was \$50,425,570.

Data Source: New York State Office of Children and Family Services

Program Name: New York State Child Care Subsidy Program

Description: New York State Child Care Subsidy Program is operated by the local districts in compliance with New York State statutes, regulations and policies. Child Care subsidies are provided to families who meet the eligibility requirements.

Current Funding: The total expenditures between October 1, 2011 and September 30, 2012 were \$424,056,178 of which \$ 380,712,437 were supported by State and federal funds and \$43,343,741 by local funds. Of the \$424.1 million, New York City's expenditures were \$291,950,849.

Current Enrollment: The average number of children per month between October 1, 2011 and September 30, 2012 receiving a child care subsidy was 87,871. New York City accounted for 55,332.

Cost Per Child: The average costs per child between October 1, 2011 and September 30, 2012 was \$4,826.

Data Source: New York State Office of Children and Family Services

Program Name: New York State Early Care and Learning Council (ECLC)

Description: New York State Early Care and Learning Council (ECLC) provides training, technical assistance, data management and advocacy to child care directors and a network of local Child Care Resource and Referral (CCR&R) agencies.

Current Funding: Total expenditures for one year was \$1,332,354, of which \$87,191 was supported by federal funds and \$1,245,163 by state funds.

Current Enrollment: Total enrollment was 516.

Cost Per Unit: Total cost per child care director trained \$2,582

Data Source: New York State Early Care and Learning Council (ECLC)

Program Name: New York State Early Learning Data System

Description: The New York State Early Learning Data System will help state and local agencies and others identify trends in early learning programs, develop policies and plan for program development. The goals of the system is to: (1) Build a quality data system that provides the information needed to inform differentiated instruction, to support efforts to improve the system of services and to ensure that children are getting the supports and services they need to be kindergarten ready. (2) Establish linkages and interoperability between the various early learning. (3) Create a mechanism for responding to the data needs of a wide range of stakeholders. Once the system is developed, it will be fully linked with the New York State Education Department's P-20 Longitudinal Data System (which contains data from prekindergarten through college and into the workforce) to provide a view of what types of early childhood education services prepare children to succeed.

Current Funding: Total estimated recurring costs for the database is \$4,435,920.

Data Source: New York State Office of Children and Family Services Council on Children and Families Early Childhood Advisory Council

Program Name: New York State Parenting Education Partnership

Description: New York State Parenting Education Partnership (NYS PEP) is a network of over 65 agencies, organizations and individuals with a mission to increase opportunities for all families to gain the knowledge, skills, confidence and social supports needed to nurture the health, safety and positive development of children.

Current Funding: Total federal funding for one year was \$259,985.

Data Source: New York State Office of Children and Family Services

Program Name: Nurse Family Partnership

Description: Nurse Family Partnership is a home visiting program which focuses on improving the health, well-being and self-sufficiency of low income, first time mothers and their children. Such programs improve pregnancy outcomes by helping women engage in preventive health care including: (1) prenatal care to improve the child's health and development by helping parents provide responsible and competent care and (2) improve the self-sufficiency of the family by helping parents develop a vision for their own future, plan future pregnancies and continue their education and help find employment.

Current Funding: Total funding between July 1, 2012 and June 30, 2013 was \$13,152,717, of which \$10,434,217 supported by federal funds and \$2,718,500 by local funds. Of the total, New York City's expenses were \$11,573,560.

Current Enrollment: Total number of mothers enrolled between July 1, 2012 and June 30, 2013 was 3,568, of which 3,111 of the mothers lived in New York City.

Cost Per Mother: The total average costs per mother between July 1, 2010 and June 30, 2010 was \$3,686

Data Source: New York State Nurse Family Partnership Program

Program Name Nutrition and Physical Activity – Child Care (NAP-SACC)

Description: This program supports intervention in child care centers aimed at improving nutrition and physical activity environment, policies and practices through self-assessment and technical assistance. Goals of the program are to improve nutritional quality of food served, amount and quality of physical activity, staff-child interactions and center nutrition and physical activity policies.

Current Funding: The total state expenditure between April 1, 2009 and March 31, 2010 was \$87,191

Current Enrollment: The total number of children participating in this program between April 1, 2009 and March 31, 2010 was approximately 3,500.

Cost Per Child: The total costs per child for between April 1, 2009 and March 31, 2010 was approximately \$25.

Data Source: New York State Department of Health

O

P

Program Name: Parent-Child Home Program, Inc.

Description: Parent-Child Home Program (PCHP) is a home visiting program which focuses on early literacy and school readiness. Home visitors bring a book or educational toy once a week and model interaction with the item as a way to teach parents how to use the toy. Families receive a home visits twice weekly for two years.

Current Funding: Total funding was \$3,500,000, of which \$175,000 was supported by state funds and \$3,325,000 by foundations or other funding sources. Of the total funding, New York City received \$2,250,000.

Current Enrollment: The total number of enrolled families was 1,300, of which 836 families were served in New York City,

Cost Per Child: Total cost per family was \$2,692

Data Source: New York State Parent-Child Home Program, Inc.

Program Name: Parents as Teachers (PAT)

Description: Parents as Teachers (PAT) is a home visiting program that helps organizations and professionals work with parents during the critical early years of their children's lives, from conception to kindergarten. In addition, home visitors provide information, support and encouragement to parents needs to help their children develop optimally during the crucial early years of life.

Current Funding: Total expenditures for 2013 were \$1,137,798, of which \$533,000 came from state funds, \$5,000 came from local funds and \$599,789 came from private foundations.

Current Enrollment: Total enrollment in 2013 was 844 families.

Cost Per Child: Total cost per family in 2011 was \$1,348

Data Source: New York State Parents as Teachers Statewide Program

Program Name: Physically Handicapped Children's Program (PHCP)

Description: The Physically Handicapped Children's Program (PHCP) operates in most counties in New York State. The program provides reimbursement for specialty health care for severe chronic illness or physically handicapping conditions in children, such as medical equipment, office visits, hospitalizations, pharmaceuticals and other health-related services that can be reimbursed for children meeting county financial and medical eligibility criteria.

Current Funding: Total funding between January 1, 2011 and December 31, 2011 was \$3,617,760, with \$138,160 coming from federal funds and \$3,479,600 coming from state funds. Of this total, New York City's expenditure was \$698,713.

Current Enrollment: Total programs administering services between January 1, 2011 and December 31, 2011 was 74, with 14 in New York City.

Cost Per Child: Total cost per child between January 1, 2011 and December 31, 2011 was \$48,889.

Data Source: New York State Department of Health

Program Name: Preschool Special Education Early Childhood Direction Centers (ECDC)

Description: Preschool Special Education Early Childhood Direction Centers (ECDCs) provide training, technical assistance to professionals so they can facilitate timely evaluations and services for preschool children with disabilities. The ECDC can provide and disseminate information to professionals via in person through formal training, technical letters, brochures and publications by phone, e-mail, web-based or in person. ECDCs also provide training, activities and informational sessions to support: (1) Transition from Early Intervention (EI) to Preschool Special Education; and improve the transition of children from EI to preschool special education and from preschool special education to school age education services; (2) Improve outcomes for preschool children with disabilities; (3) Promote greater access for preschool children to be integrated into special education settings. In addition to providing training and information to professionals, ECDC provides services to the families of young children, age birth through five, who have physical, mental, or emotional

disabilities and help families obtain services for their children. The ECDC provides and disseminates copies of information materials to parents (e.g., information session outlines, brochures, publications and announcement flyers) on a regular basis. These services are provided through in-takes, consulting and counseling leading to the development of an appropriate service plan for their child.

Current Funding: The total federal funding between April 1, 2013 and March 31, 2014 was \$3,412,263. Of the total funding, New York City received \$1,661,295.

Current Enrollment: The total number of contractors between April 1, 2013 and March 31, 2014 was 14, with 7 located in New York City.

Cost Per Contractor: Average contractor amount between April 1, 2013 and March 31, 2014 was \$243,733.

Data Source: New York State Education Department

Program Name: Preschool Special Education – Full Day

Description: New York State's Preschool Special Education program provides essential services like speech and occupational therapy to special needs children between the ages of 3 and 5 years of age. It serves as a bridge between the Early Intervention program (from birth to 3 years old) and a formal Individual Educational Plan (IEP) in a school setting. Preschool Special Education - Class Integrated (CI) is a class room setting that consists of students with disabilities who have been grouped together because of similar individual needs for the purpose of instruction to be provided specially designed for the students. Special Classes are provided in an integrated setting based on the following: (1) chronological age range within special classes serving preschool students with disabilities that must not exceed thirty-six months; and (2) maximum class size cannot exceed twelve preschool students with at least one teacher and one or more supplementary school personnel assigned to each class.

Full Day: A school day with no less than five hours of instruction for preschool students with disabilities.

Current Funding: Total expenditures between July 1, 2009 and June 30, 2010 were \$386,133,544, of which \$229,749,459 supported by state funds and \$156,384,085 by local funds. Of this total, New York City's expenditure was \$242,126,332.

Current Enrollment: Total number of children receiving Full Day services between July 1, 2009 and June 30, 2010 was 20,766, with 13,370 children receiving services in New York City.

Cost Per Child: Total average cost of Full Day services per child between July 1, 2009 and June 30, 2010 was \$18,595.

Data Source: New York State Education Department

Program Name: Preschool Special Education – Less Than Full Day

Description: New York State's Preschool Special Education program provides essential services like speech and occupational therapy to special needs children between the ages of 3 and 5 years of age. It serves as a bridge between the Early Intervention program (from birth to 3 years old) and a formal Individual Educational Plan (IEP) in a school setting.

Preschool Special Education - Class Integrated (CI) is a class consisting of students with disabilities who have been grouped together because of similar individual needs for the purpose of instruction to be provided specially designed for the students. Special Classes are provided in an integrated setting based on the following: (1) chronological age range within special classes serving preschool students with disabilities that must not exceed thirty-six months; and (2) maximum class size cannot exceed twelve preschool students with at least one teacher and one or more supplementary school personnel assigned to each class.

Less Than Full Day: A morning or afternoon session with no more than 2 ½ hours of instruction per day.

Current Funding: Total expenditures between July 1, 2009 and June 30, 2010 were \$69,179,040, of which \$41,161,529 was supported by state funds and \$28,017,511 by local funds. Of this total, New York City's expenditure was \$26,395,165.

Current Enrollment: The total number of children receiving Less Than Full Day services between July 1, 2009 and June 30, 2010 was 6,907, with 2,576 children receiving services in New York City.

Cost Per Child: Total average cost of Full Day services per child between July 1, 2009 and June 30, 2010 was \$10,016.

Data Source: New York State Education Department

Program Name: Preschool Special Education – Special Education Itinerant Teacher Services

Description: New York State's Preschool Special Education program provides essential services like speech and occupational therapy to special needs children between the ages of 3 and 5 years of age. It serves as a bridge between the Early Intervention program (from birth to 3 years old) and a formal Individual Educational Plan (IEP) in a school setting. Special Education Itinerant Teacher (SEIT) Services provide specialized individual or group instruction indirect services or both to preschool students with disabilities. Indirect services means consultation provided by a certified special education teacher to assist the child's teacher in adjusting to the learning environment and modifying their instructional methods to meet the child's individual needs. SEIT services are provided by a certified special education teacher of an approved program on an itinerant basis at a site determined by the board of education including, but not limited to an approved or licensed Prekindergarten or Head Start program; the student's home; a hospital; a State facility; or a child care location as defined in Section 4410 of the New York State Education Law. If the board of education determines that documented medical or special needs of the preschool student indicate that the student should not be transported to another site, the student shall be entitled to receive special education itinerant services in the preschool student's home. Special education itinerant services must be provided to a preschool student with a disability for whom such services have been recommended as follows: (1) service is recommended by the Committee on Preschool Special Education (CPSE) and included in the student's Individualized Education Plan (IEP); (2) the level of this service should not be less than two hours per week; (3) the total number of students with disabilities assigned to the special education teacher should not exceed twenty; (4) related services shall be provided in addition to special education itinerant services, in accordance with the student's IEP; and (5) in the event that the board of education selects a special service or program that will be provided in the preschool student's home or another care setting to which the parent has made or subsequently makes arrangements, no transportation shall be provided.

Current Funding: Total Expenditures between July 1, 2009 and June 30, 2010 were \$207,704,689, of which \$123,584,290 was supported by state funds and \$84,120,399 by local funds. Of this total, New York City's expenditure was \$126,834,619.

Current Enrollment: Total number of children receiving teacher services between July 1, 2009 and June 30, 2010 was 19,322, with 9,280 of the children living in New York City.

Cost Per Child: Total average cost per child receiving teacher services between was \$10,750

Data Source: New York State Education Department

Program Name: Preschool Special Education Integrated (SC/IS) –Full Day

Description: New York State's Preschool Special Education program provides essential services like speech and occupational therapy to special needs children between the ages of 3 and 5 years of age. It serves as a bridge between the Early Intervention program (from birth to 3 years old) and a formal Individual Educational Plan (IEP) in a school setting. Preschool Special Education Special Class In an Integrated Setting (SC/IS) require a special education teacher and one or more supplementary school personnel in a classroom that is (1) made up of no more than twelve preschool students with and without disabilities; or (2) a classroom that is made up of no more than twelve preschool students with disabilities staffed by a special education teacher and one or more supplementary school personnel that is within the same physical space as a preschool class of students without disabilities taught by a non-special education teacher.

Full Day: A school day with no less than five hours of instruction for preschool students with disabilities.

Current Funding: Total Expenditures between July 1, 2009 and June 30, 2010 were \$155,445,740 of which \$92,490,215 was supported by state funds and \$62,955,525 by local funds. Of this total, New York City's expenditure was \$60,030,782.

Current Enrollment: Total number of children receiving Full Day services between July 1, 2009 and June 30, 2010 was 9,022, with 3,366 children receiving services in New York City.

Cost Per Child: Total average cost of Full Day services per child between July 1, 2009 and June 30, 2010 was \$17,230.

Data Source: New York State Education Department

Program Name: Preschool Special Education Integrated (SC/IS)–Less than Full Day

Description: New York State's Preschool Special Education program provides essential services like speech and occupational therapy to special needs children between the ages of 3 and 5 years of age. It serves as a bridge between the Early Intervention program (from birth to 3 years old) and a formal Individual Educational Plan (IEP) in a school setting. Preschool Special Education Special Class In an Integrated Setting (SC/IS) require a special education teacher and one or more supplementary school personnel in a classroom that is (1) made up of no more than twelve preschool students with and without disabilities; or (2) a classroom that is made up of no more than twelve preschool students with disabilities

staffed by a special education teacher and one or more supplementary school personnel that is within the same physical space as a preschool class of students without disabilities taught by a non-special education teacher.

Less Than Full Day: A morning or afternoon session with no more than 2 ½ hours of instruction per day.

Current Funding: Total expenditures between July 1, 2009 and June 30, 2010 were \$61,753,293, of which \$36,743,209 was supported by state funds and \$25,010,084 by local funds. Of this total, New York City's expenditure was \$10,069,400.

Current Enrollment: Total number of children receiving Less Than Full Day services between July 1, 2009 and June 30, 2010 was 5,967, with 957 children receiving services in New York City.

Cost Per Child: Total average cost of less than full day services per child between July 1, 2009 and June 30, 2010 was \$10,350.

Data Source: New York State Education Department

Program Name: Preventive Dentistry Program for High Risk Underserved Children's Program

Description: The Preventive Dentistry Program addresses the problems of excessive occurrence of dental disease among children who reside in communities with a high proportion of persons living below 185 percent of the Federal Poverty Level. The application of dental sealants, an extremely effective caries-prevention agent, in combination with a program of dental screening, referral and other preventive services significantly improves the dental health of children in underserved communities. Thirty-one projects provide preventive dental services to an estimated 260,000 children in underserved areas across the state. Organizations providing preventive dental services under this program include LHDs, dental schools, hospitals and diagnostic and treatment centers, rural health networks and SBHCs.

Current Funding: Total federal funding between July 1, 2012 and June 30, 2013 was \$1,500,000, all of which came from federal funds. Of this, \$274,629 was received by New York City.

Current Enrollment: Total number of enrollees between July 1, 2012 and June 30, 2013 was 15,605 of which 2,857 were in New York City. Services were provided to children and women receiving prenatal or maternal care.

Cost Per Child: Total cost per child between July 1, 2012 and June 30, 2013 was \$96.

Data Source: New York State Department of Health

Program Name: Professional Development Child Care Grants

Description: The Professional Development Child Care Grant is administered by both the Civil Service Employees Association (CSEA) and United Federation of Teachers (UFT) unions. The grant provides professional development for legally-exempt, as well as licensed group family day care home and registered family day care home, irrespective of their union membership.

Current Funding: Estimated total funding is \$1,000,000.

Current Enrollment: The maximum number of grants that can be granted is 2,192, assuming each grantee received a minimum \$456.

Minimum per grantee: The minimum per grantee amount is \$456.

Program Name: Promotion of Child Health and Safety Start-up Grants

Description: Promotion of Child Health and Safety Start up Grants are awarded through a competitive grant process for start-up expenses to support child health and safety.

Current Funding: Total funding for 2010-2011 was \$586,000, all of which came from the federal level.

Current Enrollment: The maximum number of contracts that can be granted was 1,674, assuming each contractor received a minimum \$350 per contract.

Cost Per Contract: The minimum per contractor amount is \$350.

Data Source: New York State Office of Children and Family Services and the State Fiscal Year 2010 - 2011 Enacted Budget

Program Name: Public Libraries Literacy Programs & Operation

Description: "Story time" forums are the basis for most of the early learning programming done in public libraries today. "Story time" forums consist of librarians reading books and telling stories (which frequently include the use of flannel boards and puppet shows) to young children along with activities such as finger plays, craft projects and movement activities. For most "Story times", the parent or caregiver accompanies the child(ren) and the librarian serves as a model for the parent or caregiver so that they can provide the activities at home. Public library "story time" programs for three-to five-year olds are based on the interaction between the children and the librarian.

Toddler (one to two years of age) and infant (birth – one years of age) fall into the category of the Lap-Sit Programs. The Lap-Sit program emphasizes parenting skills as a way to stimulate a child's earliest literacy skills. The goal of the program is to encourage early literacy skill development for children birth – five years and training and education of parents and caregivers in providing a literacy-rich home environment. An added benefit of these kinds of public library services is that children and parents are exposed to all that the public library offers for individuals and families. This includes job information and assistance, education, citizenship and language-learning resources, parenting materials and services, plus a wealth of early childhood materials.

The enhanced early literacy programs are offered in a generally higher income community. Family Place Libraries™ contract with some libraries within NY State to develop an early literacy program model which is considered an enhanced early literacy program. Family Place Libraries™ offers high quality parent and child programs and employ outside professionals such as pediatricians and early childhood specialists to present and participate in the program. These libraries offer a dedicated space with appropriate equipment for young children, both during the program and for use throughout the day.

Service & Operational Cost Per Child: Costs are based on 7 early literacy programs administered by 5 libraries. The programs offered by these libraries serve as examples for the cost of most public library early literacy programs in New York State. Programs selected for inclusion represent regions across the state, various economic levels, basic and enhanced programs, and specialized infant programming. Service Costs per Child include professional costs (professional and supervisory librarians with youth services expertise) and non-professional personnel (providing assistance with programming). Operational costs include consumable materials (craft supplies, learning tools, etc.) and start-up/replacement equipment (computers, changing tables, child-size storage units and furniture, specially prepared Early Learn Kit)

Cost Per Child:

| Library Services | Services Cost Per Child | Operational Per Unit Costs |
|--|-------------------------|----------------------------|
| Basic Early Literacy Program | | |
| Upstate | \$12.42 | \$20.36 |
| New York City | \$9.50 | \$32.53 |
| Large City Upstate | \$5.84 | \$19.47 |
| | | |
| Enhanced Public Library Literacy Programs | | |
| Upstate | \$25.00 | \$33.76 |
| New York City | \$15.49 | \$38.52 |
| | | |
| Special Library Services | \$19.83 | \$38.16 |
| | | |
| Zero to Five Services | \$4.20 | \$13.47 |
| | | |

Data Source: New York State Education Department

Program Name: Public Television

Description: "Ready to Learn" (RTL) imitative programs support programming like Sesame Street and Between the Lions in helping young children build the cognitive, problem solving and social/emotional skills needed for school success. RTL Expenditures supports programs and resources that help young children build strong pre-reading, reading and math skills, using scientifically -based reading research to guild teaching strategies. All New York Public Broadcasting Stations (PBS) provide RTL workshops and outreach projects to teach parents, early education teachers and caregivers how to use the television programming, simple activities and reading aloud to help children develop essential skills.

Current Funding: The average total expense to broadcast "Ready to Learn" initiative programs Between 2006 and 2008 was \$17,792,209, of which \$4,394,676 was supported by federal funds, \$2,825,007 by state funds and \$10,572,526 by other sources.

Total Viewing Hours: The total maximum broadcasting hours for 2.5 channels is 11,700 hours.

Cost Per Broadcasting Hours: Average cost per broadcasting hour for "Ready to Learn" (RTL) imitative programs is \$1,521.

Data Source: New York State Education Department

Q

Program Name: Quality Child Care and Protection Act

Description: Quality Child Care and Protection Act provides grants to child day care providers for child care training associated with health and safety. In addition, the grants can be used for staff to participate in other activities to increase the availability and/or quality of child care programs.

Current Funding: Total funding between April 1, 2010 and March 31, 2011 was \$343,000, all of which came from federal funds.

Current Enrollment: The maximum number of contracts that can be granted was 980, assuming each contractor received a minimum contract amount of \$350.

Cost Per Contract: The minimum per contractor amount is \$350

Data Source: New York State Office of Children and Family Services and the State Fiscal Year 2010 - 2011 Enacted Budget

Program Name: Quality Scholars Scholarship Program

Description: Quality Scholars Scholarship Program provides scholarship support to early childhood educators as they seek to improve their knowledge and expertise through higher education as it relates to QUALITYstarsNY

Current Funding: Total federal funding for scholarships provided between January 1, 2011 and December 31, 2011 was \$1,108,895.

Current Enrollment: The average number of recipients of a scholarship between January 1, 2011 and December 31, 2011 was 1,097.

Cost per Scholarship: The average scholarship could have been upto \$1,011

Data Source: New York State Office of Children and Family Services.

Program Name: QUALITYstarsNY

Description: QUALITYstarsNY supports the efforts of early care and learning programs to improve the quality of their services and to give parents the information they need to make a more informed choice. QUALITYstarsNY is a voluntary quality rating and improvement system that is designed to increase quality in early learning settings such as centers, schools and homes throughout the state.

Current Funding: Total estimated cost associated with development and statewide implementation is \$99,256,137.

Participants: It is expected that ultimately 80 percent of centers and 50 percent of home-based providers will participate. Cost estimates for financial incentives, professional development, and technical assistance are determined based on the recognition that approximately 10 percent of centers and home-based programs that are recruited will not start or will drop out and therefore will make use of these benefits.

Cost Per Child: \$250.

Program Name: QUALITYstarsNY Specialist

Description: QUALITYstarsNY Specialist provide guidance and direction to early learning and development programs and providers through the QUALITYstarsNY process. Quality Improvement Specialists have expertise in early childhood education and child development and are adept at administrative practices, such as strategic planning, the creation of budgets and better business practices. The QUALITYstarsNY Specialist have five main responsibilities, but are not limited to: (1) Interpreting the QUALITYstarsNY Standards so participants can expeditiously and successfully engage in the self-study process; (2) Guiding participants through the QUALITYstarsNY process including the standards completion, observations and assessments and quality improvement planning; (3) Collaboratively assisting practitioners to develop and implement appropriate plans to achieve participant-defined QUALITYstarsNY goals; (4) Connecting participants with information, supports, resources in the community and professional development; and (5) Facilitating the allocation and management of QUALITYstarsNY resources.

Current Funding: Total federal funding between April 1, 2012 and March 31, 2013 was \$300,000

Current Enrollment: There will be 10 QUALITYstarsNY specialists hired between April 1, 2012 and March 31, 2013.

Cost Per Employee: The salary for the QUALITYstarsNY specialists will not exceed \$30,000 in between April 1, 2012 and March 31, 2013.

Data Source: New York City Early Childhood Professional Development Institute (NYCECPDI)

R

Program Name: Regional Perinatal Centers (RPC)

Description: New York State's system of regionalized perinatal services includes a hierarchy of four levels of perinatal care provided by the hospitals within a region and led by a Regional Perinatal Center (RPC). The RPCs are capable of providing all the services and expertise required by the most acutely sick or at-risk pregnant women and newborns. RPCs provide or coordinate maternal-fetal and newborn transfers of high-risk patients from their affiliate hospitals and are responsible for support, education, consultation and improvements in the quality of care in the affiliate hospitals within their regions.

Current Funding: Total expenditures between January 1, 2011 and December 31, 2011 were \$3,311,984, of which \$647,472 was supported by federal funds and \$2,664,512 by state funds.

Total Number of Affiliate Hospitals: Total number of affiliate hospitals and led by a Regional Perinatal Center (RPC) between January 1, 2011 and December 31, 2011 was 129.

Average Allocation: The average allocation to a Regional Perinatal Centers between January 1, 2011 and December 31, 2011 was \$25,674.

Data Source: New York State Department of Health

S

Program Name: Supplementary Fluoride Program

Description: Supplementary Fluoride Program is a school-based fluoride mouth rinse program, which serves elementary school children in fluoride-deficient areas.

Current Funding: Total federal funding between September 1, 2012 and June 30, 2013 was \$120,000.

Current Sites: Total number of sites that administer the program between September 1, 2012 and June 30, 2013 was 204.

Cost Per Site: Total average allocation per site between September 1, 2012 and June 30, 2013 was \$588.

Data Source: New York State Department of Health.

T

Program Name: TEACH system

Description: The TEACH system is designed for users to perform various functions regarding teacher certification, such as: (1) update personal, education, or employment information; (2) request name or Social Security Number changes; (3) apply for certificate, request a printed certificate or apply for an extension; (4) maintain professional development record; (5) pay for certificate or fingerprinting applications; or (6) apply for a retirement waiver. The system tracks teachers' education, certification information and professional development information.

Current Funding: Total federal Funding associated with the TEACH System is \$750,000.

Data Source: New York State Education Department

Program Name: Teacher Certification

Description: Teacher Certification system is not included as part of the Trainer Registry and Credential system. This system is administered by SED and tracks the teacher certification and credential process.

Data Source: New York State Education Department

U

Program Name: Union Administered Quality Child Care Grants

Description: The Union Administered Quality Child Care Grants Program provides grants to registered family and licensed group family child care providers. The grant program provides New York State registered family and licensed group family child care providers with a grant to help meet the child care providers' small business needs.

Current Funding: Total funding authorized between April 1, 2013 and March 31, 2014 enacted budget was \$11,205,000. The funds are supported by CSEA and UFT.

Total Contracts: The maximum number of grants that can be granted between April 1, 2013 and March 31, 2014 will be 29,880, assuming each grantee received a minimum grant of \$375 contract.

Cost per Grant: The minimum grant was \$375.

Data Source: New York State Office of Children and Family Services and the State Fiscal Year 2013 - 2014 Enacted Budget.

Program Name: Universal Prekindergarten (SED)

Description: New York State's Universal Prekindergarten program provide 4 year-old students with an opportunity to access prekindergarten programs that will provide them with the foundation to help prepare for future school success.

Current Funding: Total state funding between April 1, 2013 and March 31, 2014 was \$385,034,734, all of which came from state funds. Of this, \$224,946,630 was received by New York City. Note: There was additional prekindergarten fund allocated in the State Fiscal Year 2013-14, which is not included in the cost model.

Total Funded Half-Day Slots: Total number of half-Day slots funded between April 1, 2013 and March 31, 2014 was 98,614. Of the total funded half-day slots, 57,969 were in New York City.

Cost Per Child: Total average funded half-day slot cost between April 1, 2013 and March 31, 2014 was \$3,904.

Note: Not included in the cost model is \$25 million to support the Priority Full-day Prekindergarten and Expanded Half-day Prekindergarten Grant Program for High Need Students. This initiative is to increase the availability of high quality prekindergarten placements for the highest need children and schools within New York State's public school districts. This competitive grant initiative builds on the foundation established by the Universal Prekindergarten (UPK) program. Grant funds will create new full-day prekindergarten placements, convert existing half-day placements to full-day, or create a limited number of new half-day placements designated for higher need children in lower wealth school districts. This prekindergarten grant program will provide additional resources to significantly enhance program quality by requiring grantees to adopt program quality standards including valid and reliable measures of environmental quality, the quality of teacher-student interactions, and student outcomes.

Project Funding

\$25 million will be available to fund grants for the 2013-2014 school year and each school year thereafter subject to the achievement of performance targets and the availability of annual appropriations. Grant funding may be used to establish new full-day placements, convert existing half-day placements to full-day or create a limited number of new half-day placements.

Prekindergarten programs operated under this grant generally must operate 180 days per year, five days per week; however, in the 2013-14 school year, awardees implementing programs must operate a minimum of 90 days. Grant awards for winning school districts will be based on the number of days in which students are to be served. The grant payable will be reduced by 1/180th for each day less than 180 that the program is in operation.

Grant awards under the Priority Full-day Prekindergarten and Expanded Half-day Prekindergarten Grant Program will be based on the number of new placements/conversions and on a school district's selected grant per pupil as set forth in the Universal Prekindergarten Allocations List and will be calculated as follows:

- **New Full-day Placements:** The applicant's approved number of new full-day placements multiplied by twice the selected UPK grant per pupil amount;
- **Half-day to Full-day Conversions:** The applicant's approved number of half-day placements that will be converted to full-day multiplied by the selected UPK grant per pupil amount; and
- **New Half-day Placements:** The applicants approved number of new half-day placements multiplied by the selected UPK grant per pupil amount.

The chart below illustrates how the grant award would be calculated for each type of placement if the school district's UPK selected grant per pupil is \$2,700, as well as how the grant is adjusted if the program is in operation for less than 180 days.

| A. Type of Placement | B. Number of Placements | C. Grant Amount Per Placement | D. Maximum Grant Amount | E. Number of Days in Operation | F. Proration Factor (#days/180) | G. Adjusted Grant Amount (Col. D X Col. F) |
|-------------------------------|----------------------------------|---|----------------------------------|---|--|---|
| New full-day | 40 | \$5400 | \$216,000 | 100 | 56% | \$120,960 |

| A. Type of Placement | B. Number of Placements | C. Grant Amount Per Placement | D. Maximum Grant Amount | E. Number of Days in Operation | F. Proration Factor (#days/180) | G. Adjusted Grant Amount (Col. D X Col. F) |
|---|-------------------------------|--|----------------------------------|---|--|---|
| placements | | (\$2700 X 2) | | | (100/180) | |
| Conversion of existing half-day to full-day | 20 | \$2700 | \$54,000 | 90 | 50% (90/180) | \$27,000 |
| New half-day placements | 18 | \$2700 | \$48,600 | 120 | 67% (120/180) | \$32,562 |

Data Source: New York State Education Department

W

Program Name: Women, Infants and Children Program (WIC)

Description: The Women, Infants, Children Program (WIC) provides vouchers to low income pregnant, breastfeeding and postpartum women to purchase nutritious foods for their children (infants and children zero to age five). Also, the program provides counseling, education and breastfeeding support.

Current Funding: Total expenditures between October 1, 2012 and September 30, 2013 were \$610,262,171 of which \$108,860,000 was supported by federal funds, \$387,518,172 by state funds, and \$113,883,999 by rebates received from food manufacturers.

Current Enrollment: The total number of enrollees between October 1, 2012 and September 30, 2013 was 391,830.

Cost Per Child: Total cost per child between October 1, 2012 and September 30, 2013 was \$1,557.

Data Source: New York State Department of Health

Program Name: Work Force Registry

Description: The Work Force Registry is designed for users to perform various functions regarding certification, such as: (1) update personal, education, or employment information; and (2) maintain professional development record. The system tracks teachers' education, certification information and professional development information.

Current Funding: Total federal funding between August 1, 2010 and July 31, 2012 was \$1,500,000.

Data Source: New York City Early Childhood Professional Development Institute (NYCECPDI)

Child Care Cost of Quality Methodology

The following section details the methodology used to estimate the cost of quality child care and was developed by Anne Mitchell for both Centers and Homes. A table presenting the hourly costs of child care by age, setting, and quality level is presented in Appendix C.

Overview

One aspect of the system cost model is direct early care and learning services for children of various ages up to five years, at quality levels that correspond to the five levels of QUALITYstarsNY. For more information see www.qualitystarsny.org. The costs of early care and learning in either centers or homes are calculated using model budgets that reflect statewide average costs; the resulting costs per hour are included in the system cost model.

These model budgets include all possible revenue streams available in New York to support center or home operations. The primary purpose of these models is to calculate the cost of quality at different levels for different ages of children. Another purpose is to illustrate how regulated programs financially support their operations and whether current funding sources are adequate. Another is to illustrate the gap between the cost of producing quality and the revenue sources available to support that cost. This information can inform the design of financial awards to maintain quality at each level.

Methodology

Budgets were constructed for three different levels of quality. The basic quality level is a program that meets the state of New York's child care regulations (Star 1 in QUALITYstarsNY). Levels of quality above that are exemplified by Star 3 (acceptably good) and Star 5 (excellent) in QUALITYstarsNY. The costs for Star 2 and Star 4 are calculated by taking the median value between the adjacent Star levels.

Expenses

In general, expenses in centers and homes are influenced by two major factors:

- 1) Structure: class sizes and staff-child ratios in centers and the number and ages of children permitted in homes,
- 2) Staff qualifications: the levels of credentials of teaching and administrative staff and compensation to match those credentials.

In the case of New York, class sizes and ratios at the basic regulated level (QUALITYstarsNY Star 1) are well within the range of best practice and QUALITYstarsNY standards do not include additional criteria on class size or ratio.

In general, QRIS standards call for more qualified staff as quality increases and more staff time as expectations increase for assessment, family activities and conferences, curriculum planning, staff meetings etc.

Specifically the QUALITYstarsNY standards affect expenses for staff qualifications and associated compensation and less significantly in a few other ways that increase staff time. These cost drivers include:

1. increased qualifications of staff to reach higher Stars

2. additional training in curriculum and associated assessments (*for child care these are likely to be in addition to the training hours on 8 mandated topics, since curriculum and assessment are not among those topics*)
3. increased number of parent conferences (one is required in regulation) and parent engagement activities
4. curriculum and child assessment implementation (conduct assessment, recordkeeping and reporting)
5. paid planning time and monthly staff meetings (in centers)
6. program annual self-assessment and improvement planning

To model the QUALITYstarsNY point system as accurately as possible, two hypotheticals were constructed using criteria in all four categories of the QUALITYstarsNY standards. One is a program solidly earning enough points for Star 3 and one is a program solidly earning enough points to reach Star 5.

The Quality Scholars program is available to support professional development and coursework and a small grants program is assumed to be able to help with the cost of any necessary equipment a program may need to move up in QUALITYstarsNY. These costs are properly captured as system costs, rather than ongoing program costs.

Program budgets do reflect costs of annual training required by regulation and needed for curriculum and assessment implementation and refresher courses. Having more parent-teacher conferences and family activities requires a modest amount of extra staff time. Curriculum and assessment implementation requires staff time upfront for training, while the observation and reporting functions will require modest amounts of staff time ongoing. These requirements, from a cost perspective, translate into the need for more teaching staff time as quality increases and higher compensation to match the higher required staff qualifications. The model budgets increase the amount of teacher aides to cover time teachers need to do assessments, and time the teaching team needs for planning and parent activities. For homes, the budgets increase the time of the provider.

Staff Qualifications: New York regulations recognize 2 sizes of family child care homes: small (up to 8 children) and 'group' (up to 16 children, with an assistant). The regulations recognize 3 sizes of center (a 'small center' is essentially a family child care home that is not in the residence of the provider). Centers can enroll children according to the group sizes and ratios; a center under 45 children does not need a full-time administrative director but does need a program supervisor on site at all times; this person can also teach. To model this, the director is set to be $\frac{1}{2}$ time until the enrollment exceeds 45 and is assumed to teach $\frac{1}{2}$ time in a classroom. The mix of qualifications of teaching staff varies, increasing by Star levels.

Staff Compensation: Compensation is the combination of wages and benefits. Benefits include those that are mandatory (e.g., Social Security and Medicare, Unemployment Insurance, Disability Insurance and Workers' Compensation) and those that are discretionary. NYS law requires 3 paid days off per year after one year of employment.¹ All the budgets assume 5 paid holidays. Typical discretionary benefits include more paid time off (e.g., paid holidays, sick leave, bereavement leave, vacation); 5 paid days of combined leave (sick vacation and personal) is offered at Star 1; Star 3 offers 7 days paid leave and Star 5 offers 10 days paid leave. Additional benefits that may be offered are access to group health and/or dental insurance, retirement plans, or life insurance at employee expense. Employers may provide flexible spending accounts and dependent care accounts; these allow employees to set aside part of their wages and are not a cost to the employer.

¹ <http://www.labor.ny.gov> Facts for Employers, page 1.

Mandatory benefits are the same in all budgets; additional benefits added as the program quality level increases are more paid days off.

To estimate the wages for the different types of staff, we use the most recently available data (2010) from the US Bureau of Labor Statistics (BLS) for New York.² The key occupations are: Education Administrators, Preschool and Child Care Center/Program, and Child Care Worker. If desired, other occupations from the BLS and other wage data sources could be used; the spreadsheets contain the BLS as well as data from a national study of pre-K teachers' wages by education level. Wages are increased as the staff qualifications are increased by Star levels.

Revenue Sources

All of the budgets assume basic revenue comes from either Child Care Subsidy or parent tuition charged at the same rate. New York is one of a very few states that set subsidy ceiling rates at the 75%ile of recent market rates; those rates are used in the Star 3 and Star 5 budgets. To reflect the fact that a basic legal center or home probably is not charging tuition at ceiling rates, the Star 1 budget discounts those rates by 10%. To simplify the calculations and represent an average center, the ceiling rates are averaged across the 5 clusters of counties; these averages are used in the budgets. The Star 3 and Star 5 budgets assume that parent tuition equals the market ceiling, that is, parent tuition does not increase with Star levels beyond the 75%ile tuition rates in the market.

All of the budgets assume the center or home is participating in the Child and Adult Care Food Program (CACFP). Star 4 and Star 5 centers are assumed to be eligible for Universal Prekindergarten (UPK) funds for the 4-year-olds who are eligible for free and reduced lunch, and that those children are enrolled for the full-day year-round. Since UPK is 2 ½ hour per day, the UPK funds are in addition to the full-time rate for subsidy (or parent tuition).

To make modeling policy changes easier, the quality achievement awards proposed in the state's Race to the Top Early Learning Challenge application are modeled as a separate revenue source in these budgets; the quality awards vary by Star level 3-5 and size of enrollment. High-need percentage is defined as the combined free and reduced lunch percentage.

| Annual Achievement Awards | | Star 3 | Star 4 | Star 5 |
|-----------------------------------|----------------------|---------|----------|----------|
| Children w/High-Needs enrollment: | 26% and above | | | |
| Homes | Small home | \$500 | \$1,000 | \$1,500 |
| | Large home | \$1,000 | \$2,000 | \$3,000 |
| Centers (enrollment): | Small center <45 | \$2,000 | \$4,000 | \$6,000 |
| | Medium 46-99 | \$4,000 | \$7,000 | \$10,000 |
| | Large 100-150 | \$6,000 | \$10,000 | \$14,000 |

² http://www.bls.gov/oes/current/oes_ny.htm

| | | | |
|----------------------|---------|----------|----------|
| Very large 151 and > | \$8,000 | \$13,000 | \$18,000 |
|----------------------|---------|----------|----------|

Specific Budget Information

All of these budgets include a line-item called “non-personnel” which is an inclusive category for equipment, food, supplies, basic in-service training, occupancy, maintenance, audit, insurance, phone and other miscellaneous expenses. The amounts per year are based on the average expenditure for these items across many sizes and types of programs (centers and homes) in several states, collected over many years, and have been vetted by administrators from several states and communities. The non-personnel items are in three categories: those that vary by the number of children (e.g., classroom materials, food); those that are related to the number of classrooms (e.g., occupancy costs including rent, utilities and maintenance); and those that are program-wide (e.g., audit, permits/fees). These non-personnel items are calculated in each scenario based on the number of classrooms and/or number of children or program-wide. For homes, costs are calculated based on the number of children or overall.

All of these budgets assume that children with disabilities would be integrated into any classroom and that the costs of their additional special education are paid by early intervention/preschool special education funding sources that follow the child and do not pass through the center. Thus these additional costs do not appear in these budgets.

Every classroom has at least one teacher and one assistant. For ease of calculation, all of the expense budgets have full-time staff (no part-timers except for aides at Star 1). Each center has one director. If there are fewer than 30 children, the administrative assistant/office manager is half-time (increasing to full-time if more than 30 children are enrolled); if there are more than 125 children, an education coordinator is added. When infants or toddlers are enrolled, a health consultant is included, as required by licensing.

In each budget, the maximum potential revenue from all sources is calculated and then reduced by a reasonable percentage to model the fact that 100% enrollment (and 100% revenue receipt) is not achievable. In practice, this *efficiency factor* depends on a center’s ability to quickly fill vacancies and to collect full payment from all payers. The enrollment efficiency factor is set initially at 85% in all budgets and can be varied by the user. To account for the variations in programs’ absence, holiday and vacation policies, these budgets assume payment is collected for 50, rather than 52, weeks in a year.

Regulated Center (Star 1) meets regulated classroom sizes and regulated ratios. Each classroom has a lead teacher and an assistant teacher. In the regulated center budget, the number of additional staff (aides) to meet ratio throughout the day is set at the rate of 25% of the number of classrooms to cover staff break times and the opening/closing hours of a 10 hour center that extend beyond the 8-hour work day of teaching staff. Staff is paid slightly less than the average wage for child care workers in NY. The director is paid 90% of the mean wage for ‘preschool/child care administrator’. The lead teachers are at 90% of the mean wage for ‘child care workers’ (\$21,870) and assistant teachers are at 80% (\$19,440). Substitutes and aides are paid minimum wage (\$7.25/hour).

Staff are paid for 15 hours of training annually. Benefits are the mandatory ones plus 10 days of paid leave (5 days of sick, personal, and vacation leave plus 5 paid holidays). Sub coverage is only included for the non-holidays and for coverage of staff attending training. No employer contribution toward health insurance is included, which assumes the employee is not covered, or pays the full cost of coverage or is covered by another family members’ health insurance policy.

Family child care providers are both teaching and running a business, so BLS wages preschool/child care administrator are used. Using a % of that wage, varied by Star level plus the direct expenses approximates total cost for family child care.

QUALITYstarsNY budgets

The Star 3 expense budget begins to modestly increase compensation. The director is paid the mean wage for 'preschool/child care administrator'. The lead teacher average pay is 110% of the mean wage for 'child care workers' (\$26,700) and assistant teachers average pay is at 90% (\$21,870). Substitutes and aides are paid minimum wage (\$7.25/hour). Staff are paid for 5 more training hours and have 2 more paid leave days.

At Star 5, the budget increases compensation to reflect the higher required qualifications. The lead teacher average pay is 150% of the mean wage for 'child care workers' (\$36,450) and assistant teachers average pay is at 100% (\$24,500). Substitutes and aides are paid 10% above minimum wage (\$7.98/hour). Staff is paid for 5 more training hours and 3 more paid leave days. To cover the additional time for planning, child observation and reporting and additional parent conferences that teaching staff must complete as the Star level rises, we increase the number of aides to cover classrooms. At Star 3, this is 35%; at Star 5, it is 45%.

Key findings about cost of quality per hour

Each hypothetical budget was set to have only children of one age cohort (infants, ones, twos, threes, fours or fives). The number of classrooms was manipulated to get as close to 70-75 total children as possible. Enrollment of 70-75 is the average center size in NY. For homes, the maximum number of children by age was used. In reality, no center or home would serve only one age group but this was the simplest approach to cost of quality per hour per age of child. The length of day was assumed to be 8 hours, as that is likely the average duration of a child's day in a full-time, year-round program. The program was assumed to operate 250 days per year. The hourly costs for Star 1, 3 and 5 were calculated using the model budgets. The costs for Star 2 and Star 4 were calculated by taking the median value between the adjacent Star levels. The results are summarized in the following charts:

QUALITYstarsNY: Cost of quality per hour by age Centers

| | <i>Star 1</i> | <i>Star 2</i> | <i>Star 3</i> | <i>Star 4</i> | <i>Star 5</i> |
|---------|----------------------|----------------------|----------------------|----------------------|----------------------|
| Infants | \$6.48 | \$6.89 | \$7.30 | \$7.82 | \$8.34 |
| Ones | \$5.91 | \$6.28 | \$6.65 | \$7.12 | \$7.59 |
| Twos | \$5.60 | \$5.96 | \$6.31 | \$6.75 | \$7.19 |
| Threes | \$4.34 | \$4.59 | \$4.84 | \$5.16 | \$5.47 |
| Fours | \$4.13 | \$4.37 | \$4.61 | \$4.91 | \$5.21 |
| Fives | \$3.68 | \$3.88 | \$4.08 | \$4.33 | \$4.58 |

QUALITYstarsNY: Cost of quality per hour by age Family Child Care Homes

| | <i>Star 1</i> | | <i>Star 2</i> | | <i>Star 3</i> | | <i>Star 4</i> | | <i>Star 5</i> | |
|---------|----------------------|---------------------|----------------------|---------------------|----------------------|---------------------|----------------------|---------------------|----------------------|---------------------|
| | <u>FCC</u> | <u>G-FCC</u> |
| Infants | \$14.15 | \$10.91 | \$15.87 | \$12.36 | \$17.69 | \$13.29 | \$19.50 | \$14.23 | \$21.27 | \$15.14 |
| Ones | \$14.15 | \$10.91 | \$15.87 | \$12.36 | \$17.69 | \$13.29 | \$19.50 | \$14.23 | \$21.27 | \$15.14 |
| Twos | \$5.08 | \$4.00 | \$5.65 | \$4.48 | \$6.26 | \$4.79 | \$6.86 | \$5.11 | \$7.45 | \$5.41 |
| Threes | \$5.08 | \$4.00 | \$5.65 | \$4.48 | \$6.26 | \$4.79 | \$6.86 | \$5.11 | \$7.45 | \$5.41 |
| Fours | \$5.08 | \$4.00 | \$5.65 | \$4.48 | \$6.26 | \$4.79 | \$6.86 | \$5.11 | \$7.45 | \$5.41 |
| Fives | \$5.08 | \$4.00 | \$5.65 | \$4.48 | \$6.26 | \$4.79 | \$6.86 | \$5.11 | \$7.45 | \$5.41 |

Appendix A: Funding and Enrollment Totals By Program

| Program Name | Year of Data | Funding | | | | | Enrollment | Per Unit |
|--|--------------|---------------|---------------|---------------|------------------|-----|------------|-----------|
| | | Total | Federal | State/Local | Foundation/Other | | | |
| American Indian Health Program | RY 12-13 | \$19,227,300 | \$0 | \$19,227,300 | | \$0 | 917 | \$20,968 |
| American Indian Health Program - Clinics | FY 12-13 | \$3,515,903 | \$0 | \$3,515,903 | | \$0 | 9 | \$390,656 |
| Campus Based Child Care Centers | 13-14 | \$6,838,100 | \$4,986,000 | \$1,852,100 | | \$0 | 4,226 | \$1,618 |
| Child And Adult Care Food Program (CACFP) | FFY 12-13 | \$225,068,516 | \$225,068,516 | \$0 | | \$0 | 328,058 | \$686 |
| Child and Family Clinic | CY 2011 | \$1,684,127 | \$0 | \$1,684,127 | | \$0 | 0 | \$0 |
| Child Care Res. & Referral Centers Infant/Toddler | SFY 13-14 | \$1,100,000 | \$1,100,000 | \$0 | | \$0 | 8 | \$137,500 |
| Child Care Resource & Referral Legally Exempt Reg. | SFY 13-15 | \$9,847,991 | \$9,487,991 | \$0 | | \$0 | 32 | \$307,750 |
| Child Care Resource and Referral (CCR&R) | SFY 13-16 | \$16,630,267 | \$16,630,267 | \$0 | | \$0 | 32 | \$519,696 |
| Child Care Subsidy Training | CY 012 | \$384,482 | \$384,482 | \$0 | | \$0 | 635 | \$605 |
| Child Care Time and Attendance Payment System | | \$6,900,000 | \$6,900,000 | \$0 | | \$0 | 0 | |
| Children with Special Health Care Needs (CSHCN) | FFY 11-12 | \$1,574,611 | \$1,574,611 | \$0 | | \$0 | 1,864 | \$845 |
| Children's Centers in the Court | SFY 13-14 | \$1,463,000 | \$330,000 | \$1,133,300 | | | 52,000 | \$28 |
| Council on Children and Families | CY 2011 | \$390,883 | | | | | 3 | |
| *Early Childhood Advisory Council (ECAC) | 10-11 | \$2,816,600 | | | | | 6 | \$469,433 |
| Early Head Start - Only | FFY 11-12 | \$118,865,647 | \$118,865,647 | \$0 | | \$0 | 6,484 | \$18,332 |
| Early Intervention Program | RY 12-13 | \$623,000,000 | \$274,000,000 | \$333,000,000 | \$16,000,000 | | 69,000 | \$9,029 |
| Eat Well Play Hard | FFY 12-13 | \$2,562,819 | \$1,277,171 | \$1,285,648 | | | 15,575 | \$165 |

| Program Name | Year of Data | Funding | | | | | Enrollment | Per Unit |
|---|--------------|---------------|---------------|--------------|------------------|-----------|------------|-----------|
| | | Total | Federal | State/Local | Foundation/Other | | | |
| Education Incentive Program (EIP) - Credit | CY 2012 | \$2,680,892 | | | | | 2,697 | \$994 |
| Education Incentive Program (EIP) - No Credit | CY 2012 | \$6,450,213 | | | | | 12,106 | \$533 |
| Even Start Family Literacy Partnerships | SFY 10-11 | \$5,643,019 | \$2,347,241 | \$3,295,778 | | \$0 | 817 | \$6,907 |
| Facilitated Enrollment Demonstration Projects | SFY 13-14 | \$7,625,000 | \$7,625,000 | \$0 | | \$0 | 2,160 | \$3,530 |
| Family Leave Insurance | | \$0 | | | | | 0 | \$0 |
| Family Planning Extension Program (FPEP) | CY 2011 | \$2,671,657 | \$0 | \$1,335,828 | \$1,335,829 | | 15,209 | \$176 |
| Family Resource Centers | FFY 11-12 | \$1,652,497 | \$1,652,497 | \$0 | | \$0 | 5,494 | \$301 |
| Family Support | | \$26,453,700 | | | | | 1,757 | \$15,056 |
| Farmers' Market Nutrition Program (FMNP) | FFY 11-12 | \$4,340,958 | \$3,447,104 | \$893,854 | | \$0 | 47,330 | \$92 |
| Financial Aid Professional Development | FFY 11-13 | \$0 | | | | | 0 | |
| General Child Care Training | CY 2012 | \$4,234,604 | \$4,234,604 | \$0 | | \$0 | 60,698 | \$70 |
| GOER Child Care Technical Assistance & Training | SFY 10-11 | \$500,000 | | | | \$500,000 | 36 | \$13,889 |
| Growing Up Healthy Hotline | | \$0 | | | | | 0 | #DIV/0! |
| Head Start - Only | FFY 11-12 | \$358,277,941 | \$358,277,941 | \$0 | | \$0 | 44,764 | \$8,004 |
| Head Start & Early Head Start Training | FFY 11-13 | \$3,779,096 | \$3,779,096 | \$0 | | \$0 | 97 | \$38,960 |
| Head Start Collaboration | FFY 11-14 | \$267,242 | \$211,400 | \$55,842 | | \$0 | 1 | \$267,242 |
| Head Start Early Childhood Specialist Contract | FFY 11-15 | \$3,194,580 | \$3,194,580 | \$0 | | \$0 | 12 | \$266,215 |
| Healthy Families New York State | SFY 09-10 | \$26,781,430 | \$5,822,000 | \$17,466,200 | \$3,493,230 | | 5,587 | \$4,794 |
| Healthy Start | | \$0 | | | | | 0 | #DIV/0! |
| Home Instr. for Parents of Preschool Youngsters (HIPPY) | CY 2011 | \$85,000 | | | | \$85,000 | 40 | \$2,125 |
| Home Visiting Programs | | \$54,642,653 | \$21,086,582 | \$26,053,043 | \$7,503,028 | | | |

| Program Name | Year of Data | Funding | | | | | Enrollment | Per Unit |
|---|----------------|---------------|---------------|--------------|------------------|-----|------------|----------|
| | | Total | Federal | State/Local | Foundation/Other | | | |
| Hunger Prevention and Nutrition Assistance Program | SFY 12-13 | \$29,952,500 | \$250,000 | \$29,702,500 | | \$0 | 545,728 | \$55 |
| Lead Poisoning Prevention Program (LPPP) | CY 2010 | \$7,772,531 | \$3,059,831 | \$4,712,700 | | \$0 | 2,924 | \$2,658 |
| Maternal and Infant Comm. Health Collaboratives (MICHC) | FFY 13-14 | \$9,985,708 | \$4,830,365 | \$5,155,343 | | \$0 | 0 | |
| Mental Health Consultation-Social-Emotional | | \$1,088,905 | \$1,088,905 | \$0 | | \$0 | 0 | |
| Microcomputer Training | SFY 10-11 | \$535,000 | \$535,000 | \$0 | | \$0 | 0 | |
| Migrant & Seasonal Farm Worker Health Program | SFY 11-12 | \$1,228,185 | \$822,185 | \$406,000 | | \$0 | 2,420 | \$508 |
| Migrant & Seasonal Head Start Child Care | PIT March 2013 | \$11,630,615 | \$11,630,615 | \$0 | | \$0 | 124,066 | \$94 |
| Migrant and Seasonal Workers Head Start Training | SFY 10-11 | \$106,000 | \$106,000 | \$0 | | \$0 | 10 | \$10,600 |
| New Born Hearing Screening Program | CY 2011 | \$411,720 | \$411,720 | | | | 9,589 | \$43 |
| New Born Screening | SFY 13-14 | \$11,900,000 | \$0 | \$0 | \$11,900,000 | | 245,000 | \$49 |
| New York State Child Care Administration | SFY 10-11 | \$50,425,570 | \$50,425,570 | \$0 | | \$0 | 0 | |
| New York State Child Care Subsidy Program | FFY 11-12 | \$424,056,178 | \$380,712,437 | \$43,343,741 | | | 87,871 | \$4,826 |
| New York State Early Learning Data System | Est. Annual | \$4,435,920 | | | | | 0 | |
| New York State Parenting Education Partnership | FFY 202-13 | \$259,985 | \$259,985 | \$0 | | \$0 | 0 | |
| Nurse Family Partnership (NFP) | RY 12-13 | \$13,152,717 | \$10,434,217 | \$2,718,500 | | \$0 | 3,568 | \$3,686 |
| Nutrition and Physical Activity - Child care | SFY 09-10 | \$87,191 | \$0 | \$87,191 | | \$0 | 3,500 | \$25 |
| NY State Early Care and Learning Council (ECLC) | | \$1,332,354 | \$87,191 | \$0 | \$1,245,163 | | 516 | |

| Program Name | Year of Data | Funding | | | | | Enrollment | Per Unit |
|--|--------------|---------------|-------------|---------------|------------------|--------|------------|----------|
| | | Total | Federal | State/Local | Foundation/Other | | | |
| NYC Department of Health and Mental Hygiene | | | | | | | | |
| NYC Early Childhood Prof. Development Institute | | | | | | | | |
| Office of Mental Health Family Support Programs | | | | | | | | |
| Parent-Child Home Program, Inc. | | \$3,500,000 | \$0 | \$175,000 | \$3,325,000 | 1,300 | \$2,692 | |
| Parents as Teachers (PAT) | 2013 | \$1,137,789 | \$0 | \$538,000 | \$599,789 | 844 | \$1,348 | |
| Physically Handicapped Children's Program (PHCP) | 2011 | \$3,617,760 | \$138,160 | \$3,479,600 | \$0 | 74 | \$48,889 | |
| Preschool Spec. Ed - Early Childhood Dir. Centers | SFY 13-14 | \$3,412,263 | \$3,412,263 | \$0 | \$0 | 14 | \$243,733 | |
| Preschool Special Ed - Integrated (SC/IS) Full Day | SFY 13-15 | \$155,445,740 | \$0 | \$92,490,215 | \$62,955,525 | 9,022 | \$17,230 | |
| Preschool Special Ed - SC/IS Less Than Full Day | SFY 13-16 | \$61,753,293 | \$0 | \$36,743,209 | \$25,010,084 | 5,967 | \$10,350 | |
| Preschool Special Ed Itinerant Teacher Services | RY 09-10 | \$207,704,689 | \$0 | \$123,584,290 | \$84,120,399 | 19,322 | \$10,750 | |
| Preschool Special Education - Full Day | RY 09-10 | \$386,133,544 | \$0 | \$229,749,459 | \$156,384,085 | 20,766 | \$18,595 | |
| Preschool Special Education - Less Than Full Day | RY 09-10 | \$69,179,040 | \$0 | \$41,161,529 | \$28,017,511 | 6,907 | \$10,016 | |
| Preventive Dentistry Program | RY 12-13 | \$1,500,000 | \$1,500,000 | \$0 | \$0 | 15,605 | \$96 | |
| Professional Development Child Care Grant | | \$1,000,000 | | | | 2,192 | \$456 | |
| Promotion of Child Health & Safety Start up Grants | | \$586,000 | | | | 0 | | |

| Program Name | Year of Data | Funding | | | | | Enrollment | Per Unit |
|--|--------------|---------------|---------------|---------------|------------------|---------|------------|----------|
| | | Total | Federal | State/Local | Foundation/Other | | | |
| Public Libraries Literacy Programs & Operation | | | | | | | | |
| Public Television | 2006-2008 | \$17,792,209 | \$4,394,676 | \$2,825,007 | \$10,572,526 | 11,700 | \$1,521 | |
| Quality Child Care and Protection Act | SFY10-11 | \$343,000 | \$343,000 | \$0 | \$0 | 0 | | |
| Quality Scholars | CY 2011 | \$1,108,895 | \$1,108,895 | \$0 | \$0 | 1,097 | \$1,011 | |
| QUALITYstarsNY | Estimated | \$99,256,137 | | | | 0 | | |
| QUALITYstarsNY Specialist | SFY 12-13 | \$300,000 | \$300,000 | \$0 | \$0 | 10 | \$30,000 | |
| Regional Perinatal Centers (RPC) | CY 2011 | \$3,311,984 | \$647,472 | \$2,664,512 | \$0 | 129 | \$25,674 | |
| **Supplementary Fluoride Program | 12-13 | \$120,000 | \$120,000 | \$0 | \$0 | 204 | \$588 | |
| TEACH system | | \$750,000 | \$750,000 | \$0 | \$0 | 0 | | |
| Teacher Certification | | | | | | | | |
| Union Administered Quality Child Care Grants | SFY 13-14 | \$11,205,000 | | | | 29,880 | \$375 | |
| Universal Prekindergarten | SFY 13-14 | \$385,034,734 | \$385,034,734 | \$0 | \$0 | 98,614 | \$3,904 | |
| WIC Program - Women, Infants, Children | FFY 12-13 | \$610,262,171 | \$108,860,000 | \$387,518,172 | \$113,883,999 | 391,830 | \$1,557 | |
| *Workforce Registry | | \$1,000,000 | \$1,000,000 | \$0 | \$0 | 0 | | |

SFS - State Fiscal Year (April - March)

FFY - Federal Fiscal Year (October - September)

CY - Calendar Year (January - December)

RY - Rate Year (July - June)

PIT - Point in Time (as of that month)

*August to July

**September - June

Appendix B: Costs Per Hour By Age For Child Care

The table below presents the costs per year for child care in centers, disaggregated by setting, child age, and star level. These costs form the basis of the model's per-child costs for quality child care. Costs presented are based on full-time enrollment of 8 hours per day, 250 days per year.

Costs per hour by age for centers

Annual Costs

| | Infants | Age 1 | Age 2 | Age 3 | Age 4 | Age 5 |
|---------------|----------------|--------------|--------------|--------------|--------------|--------------|
| 1 Star | \$15,098 | \$13,588 | \$12,078 | \$8,627 | \$7,549 | \$6,710 |
| 2 Star | \$16,035 | \$14,431 | \$12,828 | \$9,163 | \$8,017 | \$7,127 |
| 3 Star | \$16,972 | \$15,275 | \$13,577 | \$9,698 | \$8,486 | \$7,543 |
| 4 Star | \$18,018 | \$16,216 | \$14,414 | \$10,296 | \$9,009 | \$8,008 |
| 5 Star | \$19,063 | \$17,157 | \$15,251 | \$10,893 | \$9,532 | \$8,473 |

Hourly Costs

| | Infants | Age 1 | Age 2 | Age 3 | Age 4 | Age 5 |
|---------------|----------------|--------------|--------------|--------------|--------------|--------------|
| 1 Star | \$7.55 | \$6.79 | \$6.04 | \$4.31 | \$3.77 | \$3.36 |
| 2 Star | \$8.02 | \$7.22 | \$6.41 | \$4.58 | \$4.01 | \$3.56 |
| 3 Star | \$8.49 | \$7.64 | \$6.79 | \$4.85 | \$4.24 | \$3.77 |
| 4 Star | \$9.01 | \$8.11 | \$7.21 | \$5.15 | \$4.50 | \$4.00 |
| 5 Star | \$9.53 | \$8.58 | \$7.63 | \$5.45 | \$4.77 | \$4.24 |

Costs per hour by age Family Child Care Homes

| | Star 1 | | Star 2 | | Star 3 | | Star 4 | | Star 5 | |
|----------------|---------------|--------------|---------------|--------------|---------------|--------------|---------------|--------------|---------------|--------------|
| | FCC | G-FCC |
| Infants | \$16.16 | \$13.05 | \$17.92 | \$14.59 | \$19.78 | \$15.54 | \$21.62 | \$16.50 | \$23.43 | \$17.43 |
| Ones | \$16.16 | \$13.05 | \$17.92 | \$14.59 | \$19.78 | \$15.54 | \$21.62 | \$16.50 | \$23.43 | \$17.43 |
| Twos | \$8.08 | \$6.53 | \$8.96 | \$7.29 | \$9.89 | \$7.77 | \$10.81 | \$8.25 | \$11.72 | \$8.71 |
| Threes | \$8.08 | \$6.53 | \$8.96 | \$7.29 | \$9.89 | \$7.77 | \$10.81 | \$8.25 | \$11.72 | \$8.71 |
| Fours | \$8.08 | \$6.53 | \$8.96 | \$7.29 | \$9.89 | \$7.77 | \$10.81 | \$8.25 | \$11.72 | \$8.71 |
| Fives | \$8.08 | \$6.53 | \$8.96 | \$7.29 | \$9.89 | \$7.77 | \$10.81 | \$8.25 | \$11.72 | \$8.71 |

Notes: